

Exhibit 4

1/9/2026

Malikie Innovations Ltd., et al. vs Mara Holdings, Inc. Dr. Çetin Kaya Koç

1

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
MIDLAND/ODESSA DIVISION

MALIKIE INNOVATIONS LTD.,
KEY PATENT INNOVATIONS LTD.

Plaintiff,

v.

MARA HOLDINGS, INC.

(F/K/A) MARATHON DIGITAL HOLDINGS, IN

Defendant.

CIVIL ACTION NO.

7:25-cv-0022-DC-DTG

VIDEOTAPED DEPOSITION OF DR. ÇETIN KAYA KOC

DATE: Friday, January 9, 2026

LOCATION: Via Zoom

REPORTED STENOGRAPHICALLY BY:

Jennifer Miller, RMR, CRR, CCR-NJ,

CCR-WA, CCR-NM, CALIFORNIA CSR#14652

Notary Public: NJ, NY, PA, DE

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1 A P P E A R A N C E S

2

3 REICHMAN JORGENSEN LEHMAN & FELDBERG

4 BY: PHILIP EKLEM, ESQ.

5 1909 K Street, NW, Suite 800

6 Washington, D.C. 20006

7 202.894.7451

8 peklem@reichmanjorgensen.com

9 Counsel on behalf of Plaintiffs, Malikie

10 Innovations and Key Patent Innovations

11

12 PAUL WEISS

13 BY: ANISH DESAI, ESQ.

14 PRIYATA PATEL, ESQ.

15 1285 Avenue of the Americas

16 New York, NY 10019-6064

17 212.373.3394

18 adesai@paulweiss.com

19 Counsel on behalf of the Defendant, MARA

20 Holdings, Inc. and the witness

21

22 Also present: Joe Cerda, Videographer

23

24

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1 P R O C E E D I N G S

2 THE VIDEOGRAPHER: We are on the

3 record. This is a remote video deposition

4 of Dr. Çetin Koc in the matter of Malikie

5 Innovations Limited, et al., versus

6 Mara Holdings.

7 My name is Joe Cerda. I am the

8 video technician today. The Court

9 Reporter is Jennifer Billstein. We both

10 represent Digital Evidence Group.

11 Today's date is January 9, 2026.

12 The time on the record is 8:07 a.m.

13 Will all parties please identify

14 themselves for the record and who they

15 represent.

16 ATTORNEY EKLEM: This is Philip

17 Eklem with Reichman Jorgensen Lehman &

18 Feldberg on behalf of plaintiffs Malikie

19 Innovations and Key Patent Innovations.

20 ATTORNEY DESAI: Anish Desai and

21 Priyata Patel from Paul Weiss on behalf of

22 the defendant, MARA, and the witness,

23 Dr. Koc.

24 THE WITNESS: Çetin Kaya Koc,

25 expert witness, on this current matter

3

1 I N D E X

2 WITNESS PAGE

3 ÇETIN KAYA KOC

4

5 EXAMINATION BY:

6 BY ATTORNEY EKLEM 5, 118

7 BY ATTORNEY DESAI 113

8 E X H I B I T S

9

10 Koc 1 Expert Declaration of Dr. 9

11 Cetin Kaya Koc in Support

12 of MARA's Opening Claim

13 Construction Brief

14 Koc 2 Errata to Koc Expert 9

15 Declaration - 1.7.2026

16

17 Koc 3 Dr. Cetin Kaya Koc CV 13

18

19 Koc 4 Exhibit Y - Excerpts of 44

20 Modern Computer Arithmetic

21 Version 0.2 (2008)

22

23 Koc 5 mca-0.2 49

24

25 Koc 6 Document Bates Number 53

26 MARA_0001274 to 1277

27 Koc 7 MKIE_MARA_001759 to 1783 67

28 Koc 8 MKIE_MARA_000628 to 000655 88

29 Koc 9 Koc_Cryptographic 95

30 Engineering_2009

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32

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1 mentioned.

2 ÇETIN KAYA KOC, after

3 having been first duly sworn, was

4 examined and testified as follows:

5 - - -

6 E X A M I N A T I O N

7 - - -

8 BY ATTORNEY EKLEM:

9 Q. Thank you. Good afternoon to you,

10 Dr. Koc. Good morning to everyone else.

11 Would you mind, just go ahead

12 and just please state your record -- state your

13 name for the record now that we're on.

14 A. Çetin Kaya Koc. Koc is my last name.

15 Q. Yes. Thank you, Doctor.

16 Have you ever been deposed

17 before, Dr. Koc?

18 A. Yes.

19 Q. How many times?

20 A. I believe three times.

21 Q. Were any of those times related to

22 patent litigation or patent matters?

23 A. Patent matters, all of them.

24 Q. Okay. Do you recall the technology

25 at issue in those three cases?

2 (Pages 2 to 5)

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| <p style="text-align: right;">6</p> <p>1 A. They were related to cryptographic 2 products, engineering, hardware, software. 3 Q. For those three cases, if you can 4 remember, do you know if you represented the 5 patent owner or the patent challenger? Do you 6 recall? 7 A. In one case, it was the patent owner. 8 In another case, it was a challenger. Finally, 9 in another case, it was a challenger. 10 Q. Thank you. 11 Have you ever testified in -- at 12 a trial before? 13 A. I have been invited to PTAB for 14 testifying but never asked. And, no, I have 15 not in person. 16 Q. And you understand that you're under 17 oath today, which means that you must provide 18 truthful and accurate testimony as if you were 19 testifying in a courtroom? 20 A. Of course I do. 21 Q. Okay. So this deposition will be 22 basically a question-and-answer session between 23 you and me where I'll ask the questions and 24 you'll give the answers. So unless your 25 attorney instructs you not to answer, you are</p> | <p style="text-align: right;">8</p> <p>1 so emails, texting, you know, Teams and all 2 that stuff, while we're on the record, if you 3 don't mind just leaving those things aside. 4 Okay? 5 A. Of course. 6 Q. Great. 7 Other than the Zoom program and 8 the Box program for the exhibits, do you have 9 any other programs open on your computer in 10 front of you? 11 A. None. 12 Q. Okay. And where are you located 13 today, Dr. Koc? 14 A. I am located near Barcelona, Spain. 15 Q. Okay. Is anybody else in the room 16 with you today? 17 A. No. 18 Q. Is there any reason why you would not 19 be able to answer my questions truthfully and 20 accurately today? 21 A. I don't see any reasons. 22 Q. Okay. Are you taking any medications 23 that might affect your ability to testify 24 truthfully? 25 A. No.</p> |
| <p style="text-align: right;">7</p> <p>1 to answer my questions. 2 Do you understand? 3 A. I do. 4 Q. Okay. And if any of my questions are 5 not clear, if you don't understand, just ask me 6 to clarify. And I'll do my best if I can. 7 Is that all right? 8 A. That's right. 9 Q. Okay. Now, during the course of the 10 deposition, your attorneys may object to my 11 questions; but unless they instruct you not to 12 answer after their objection, you can go ahead 13 and answer my questions. 14 Do you understand? 15 A. Yes. 16 Q. Okay. So I think we'll, you know, 17 probably take a break about every hour. But, 18 of course, if at any time during the deposition 19 you need to take a break, let me know. And 20 I'll try to quickly get us to a place where we 21 can do that. Okay? 22 A. All right. 23 Q. So I'm going to ask you to please 24 refrain from engaging in any forms of 25 electronic communication during the deposition,</p> | <p style="text-align: right;">9</p> <p>1 Q. Great. 2 ATTORNEY EKLEM: So let's go 3 ahead and put in Exhibits 1 and 2. 4 Joe, if you want to put in 5 documents 1 and 2, please. 6 - - - 7 (Whereupon, Exhibit 1 was marked 8 for identification.) 9 - - - 10 BY ATTORNEY EKLEM: 11 Q. This will be your declaration and 12 errata. 13 - - - 14 (Whereupon, Exhibit 2 was marked 15 for identification.) 16 - - - 17 BY ATTORNEY EKLEM: 18 Q. When that's available to you, 19 Dr. Koc, please let me know. 20 THE VIDEOGRAPHER: Philip, you 21 want to mark those as Exhibits 1 and 2 as 22 well? 23 ATTORNEY EKLEM: Yes, please. 24 THE VIDEOGRAPHER: Stand by. 25 ATTORNEY DESAI: Philip, just so</p> |

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| <p style="text-align: right;">10</p> <p>1 you know, Dr. Koc has a paper copy of his</p> <p>2 declaration. And he also has a binder</p> <p>3 with a paper copy of all of the exhibits</p> <p>4 that are referenced.</p> <p>5 ATTORNEY EKLEM: Okay.</p> <p>6 BY ATTORNEY EKLEM:</p> <p>7 Q. Dr. Koc, other than your binder that</p> <p>8 contains your declaration and exhibits, do you</p> <p>9 have any other papers or notes with you today?</p> <p>10 A. No, I don't.</p> <p>11 Will you be sharing the</p> <p>12 documents over the Zoom as document sharing, or</p> <p>13 do I need to go to the Box and get it?</p> <p>14 Q. We will do both. So Joe is going to</p> <p>15 put them up, and he'll follow along with what</p> <p>16 I'm saying. But you can also access them</p> <p>17 directly, and sometimes it is easier, I think.</p> <p>18 It may be easier at times for you to download</p> <p>19 whatever document it is so you can, you know,</p> <p>20 have control of the navigation. But we will --</p> <p>21 we can do both.</p> <p>22 A. Okay.</p> <p>23 Q. All right. So what we have here is</p> <p>24 Exhibit -- I'm sorry?</p> <p>25 ATTORNEY DESAI: Dr. Koc, you</p> | <p style="text-align: right;">12</p> <p>1 Q. If you go to the very end of the</p> <p>2 document, the very last page, you should see a</p> <p>3 signature and a date, January 7, 2026.</p> <p>4 Do you see that?</p> <p>5 A. Yeah.</p> <p>6 Q. I just want to confirm. That's your</p> <p>7 signature?</p> <p>8 A. Yes, it is.</p> <p>9 Q. Great.</p> <p>10 A. It's not January. It's</p> <p>11 December 17th.</p> <p>12 Q. I'm sorry. Yeah. I don't know what</p> <p>13 I said. The date is December 17, 2025.</p> <p>14 So -- so you can confirm this</p> <p>15 is -- this is the declaration that you</p> <p>16 submitted -- Exhibit 1 is the declaration that</p> <p>17 you submitted in this case in connection with</p> <p>18 the -- MARA's claim construction brief, right?</p> <p>19 A. Right.</p> <p>20 Q. Okay. And this declaration, does it</p> <p>21 contain your opinions?</p> <p>22 A. Yes.</p> <p>23 Q. And you stand by all the opinions in</p> <p>24 your declaration?</p> <p>25 A. I do.</p> |
| <p style="text-align: right;">11</p> <p>1 can also feel free to use the paper copies</p> <p>2 you have as well.</p> <p>3 THE WITNESS: Yeah. I do have a</p> <p>4 paper copy of everything.</p> <p>5 BY ATTORNEY EKLEM:</p> <p>6 Q. Yes. Right. So then that's totally</p> <p>7 fine, too.</p> <p>8 So let's -- so what we've</p> <p>9 entered as Exhibits 1 and 2 is your declaration</p> <p>10 and your errata.</p> <p>11 Let's start with Exhibit 1. So</p> <p>12 if you want to pick up your declaration,</p> <p>13 please, Dr. Koc.</p> <p>14 And the first page is Exhibit A,</p> <p>15 but if you want to go the page -- the next page</p> <p>16 with the case caption.</p> <p>17 A. Okay.</p> <p>18 Q. I just want to confirm a few things</p> <p>19 here. So the title is [as read]:</p> <p>20 "Expert Declaration of</p> <p>21 Dr. Çetin Kaya Koc in Support</p> <p>22 of MARA's Opening Claim</p> <p>23 Construction Brief."</p> <p>24 Do you see that?</p> <p>25 A. Yes.</p> | <p style="text-align: right;">13</p> <p>1 Q. Other than the errata, which is in</p> <p>2 Exhibit 2 -- and feel free to take a look at</p> <p>3 that if you'd like.</p> <p>4 Other than that errata, is there</p> <p>5 anything about your declaration that you want</p> <p>6 to change or correct today before we get</p> <p>7 started?</p> <p>8 A. No.</p> <p>9 Q. Okay. So let's go to paragraph 15 of</p> <p>10 your declaration, please. That is on -- that's</p> <p>11 on page 5.</p> <p>12 A. Yeah.</p> <p>13 Q. It says that a copy of your CV is</p> <p>14 attached as Appendix A.</p> <p>15 Now, I don't -- I don't think</p> <p>16 there was an Appendix A attached, but I do have</p> <p>17 a copy of your CV that we received from</p> <p>18 counsel.</p> <p>19 ATTORNEY EKLEM: Joe, could you</p> <p>20 enter Document 3, please, and mark it as</p> <p>21 an exhibit, which will be Exhibit 3.</p> <p>22 - - -</p> <p>23 (Whereupon, Exhibit 3 was marked</p> <p>24 for identification.)</p> <p>25 - - -</p> |

4 (Pages 10 to 13)

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| <p style="text-align: right;">14</p> <p>1 BY ATTORNEY EKLEM:</p> <p>2 Q. So, Dr. Koc, what we put up here on</p> <p>3 the screen and what should also be available in</p> <p>4 the Box to you is a copy of the CV that we</p> <p>5 received.</p> <p>6 Can you confirm that this is a</p> <p>7 copy of your CV?</p> <p>8 A. It is, starting from first page. I'm</p> <p>9 assuming the rest of it would be correct too.</p> <p>10 Q. Okay. If you want to take a minute</p> <p>11 to look through, we can -- you can download it.</p> <p>12 But I'll represent that this is the copy that</p> <p>13 we received from your counsel.</p> <p>14 A. Yes. 26 pages, all correct.</p> <p>15 Q. Okay. So then let's go back to your</p> <p>16 declaration now and go to paragraph 6, please.</p> <p>17 A. Yeah.</p> <p>18 Q. In paragraph 6, it says that you're a</p> <p>19 retired research professor in the department of</p> <p>20 computer science at UCSB, right?</p> <p>21 A. True.</p> <p>22 Q. When did you retire from UCSB?</p> <p>23 A. 2024, June.</p> <p>24 Q. And before UCSB, you were previously</p> <p>25 a professor at Oregon State University,</p> | <p style="text-align: right;">16</p> <p>1 A. Yes.</p> <p>2 Q. Paragraph 14 indicates that you</p> <p>3 coauthored five books, correct?</p> <p>4 A. True.</p> <p>5 Q. Would those books provide a reliable</p> <p>6 source of information for understanding the</p> <p>7 concepts addressed in your declaration?</p> <p>8 A. Yes, they do.</p> <p>9 Q. Okay. So let's go on down to</p> <p>10 paragraph 22 on page 7.</p> <p>11 A. Yeah.</p> <p>12 Q. Paragraph 22 includes your definition</p> <p>13 of the person of ordinary skill in the art,</p> <p>14 which is the acronym POSITA, P-O-S-I-T-A.</p> <p>15 Do you see that?</p> <p>16 A. Yes.</p> <p>17 Q. Would the person of ordinary skill in</p> <p>18 the art need to be a cryptographic engineer, in</p> <p>19 your opinion?</p> <p>20 A. Not necessarily, but study</p> <p>21 cryptography, among other subjects like</p> <p>22 hardware and software.</p> <p>23 Q. Would they need to be able to design,</p> <p>24 implement, test, and validate cryptographic</p> <p>25 systems to be a person of ordinary skill?</p> |
| <p style="text-align: right;">15</p> <p>1 correct?</p> <p>2 A. Correct.</p> <p>3 Q. Taking a look down at paragraphs 7</p> <p>4 and 8, both of those paragraphs refer to</p> <p>5 cryptographic engineering.</p> <p>6 Do you see that?</p> <p>7 A. Yes.</p> <p>8 Q. What is cryptographic engineering?</p> <p>9 A. The development of cryptographic</p> <p>10 products in hardware and software form and all</p> <p>11 of the technologies, algorithms, methods</p> <p>12 related to it.</p> <p>13 Q. Okay. Would you say that</p> <p>14 cryptographic engineering is a</p> <p>15 multidisciplinary field?</p> <p>16 A. Indeed. In my talk, I always say it</p> <p>17 encompasses electrical engineering, computer</p> <p>18 science, and mathematics.</p> <p>19 Q. Okay. You said electrical</p> <p>20 engineering, computer science, and mathematics,</p> <p>21 correct?</p> <p>22 A. Correct.</p> <p>23 Q. Great.</p> <p>24 So let's go on down to</p> <p>25 paragraph 14 on page 4.</p> | <p style="text-align: right;">17</p> <p>1 A. They would have to be involved but</p> <p>2 not necessarily alone doing that.</p> <p>3 Q. When you say "not necessarily alone,"</p> <p>4 do you mean that they might be working with or</p> <p>5 collaborating with others that have helpful</p> <p>6 knowledge?</p> <p>7 A. True, yeah. Collaborating with</p> <p>8 others who have overlapping knowledge of those</p> <p>9 fields, so, therefore, designing a system</p> <p>10 together.</p> <p>11 Q. So the person of ordinary skill would</p> <p>12 have some knowledge in mathematics, computer</p> <p>13 science, and electrical engineering?</p> <p>14 A. Not all three, but at least in one of</p> <p>15 them.</p> <p>16 Q. Okay. All right. Let's go to</p> <p>17 paragraph 25 on the next page, page 8.</p> <p>18 A. Yeah.</p> <p>19 Q. In paragraph 25, about in the</p> <p>20 middle-ish -- yeah, about in the middle of the</p> <p>21 paragraph, there's a sentence that begins with</p> <p>22 [as read]:</p> <p>23 "The mod operation is</p> <p>24 often referred to."</p> <p>25 Do you see that?</p> |

5 (Pages 14 to 17)

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| <p style="text-align: right;">18</p> <p>1 A. Yes.</p> <p>2 Q. It says [as read]:</p> <p>3 "The mod operation is</p> <p>4 often referred to as 'modular</p> <p>5 reduction' or 'reduction,'</p> <p>6 because it 'reduces' the value</p> <p>7 of a number larger than the</p> <p>8 modulus to below the modulus."</p> <p>9 Do you see that?</p> <p>10 A. Yes.</p> <p>11 Q. Is reducing the value of a number</p> <p>12 larger than the modulus to below the modulus</p> <p>13 the same thing as reducing to a specific finite</p> <p>14 field?</p> <p>15 A. I may ask a clarification about which</p> <p>16 finite field it is, yes.</p> <p>17 Q. Okay. It would be -- well, let's</p> <p>18 take one scenario where the modulus is -- well,</p> <p>19 let me ask you a question about your question.</p> <p>20 When you say "which finite field</p> <p>21 it is," what kind of information are you</p> <p>22 looking for? I mean, are you asking what the</p> <p>23 specific modulus is? Are you asking for a</p> <p>24 definition of -- a definition of the finite</p> <p>25 field? What would be helpful?</p> | <p style="text-align: right;">20</p> <p>1 parameters of that finite field.</p> <p>2 BY ATTORNEY EKLEM:</p> <p>3 Q. Okay. So then in your -- in your</p> <p>4 paragraph 25 -- so in your paragraph 25,</p> <p>5 performing a mod n is reducing to a specific</p> <p>6 finite field, right?</p> <p>7 A. In paragraph 25, a mod n and all</p> <p>8 those four sentences in this paragraph refers</p> <p>9 to that particular finite field. Then it is to</p> <p>10 that finite field, meaning if it is to get</p> <p>11 modular n.</p> <p>12 Q. Okay. Let's go to paragraph 31,</p> <p>13 please, on page 10.</p> <p>14 A. Yes.</p> <p>15 Q. So paragraph 31 begins with</p> <p>16 [as read]:</p> <p>17 "One fundamental theorem</p> <p>18 of modular arithmetic is that,</p> <p>19 for addition, subtraction, and</p> <p>20 multiplication, 'reducing each</p> <p>21 intermediate result' with</p> <p>22 field modulus n 'gives the</p> <p>23 same answer as computing in</p> <p>24 ordinary integer arithmetic</p> <p>25 and reducing the result</p> |
| <p style="text-align: right;">19</p> <p>1 ATTORNEY DESAI: Objection to</p> <p>2 form.</p> <p>3 THE WITNESS: The previous two</p> <p>4 sentences and today one that you</p> <p>5 highlighted, the yellow, defines a very</p> <p>6 particular finite field involving numbers</p> <p>7 from zero to n minus one. So the context</p> <p>8 here of the modular reduction context is</p> <p>9 for that kind of finite fields, which is a</p> <p>10 finite field consisting of numbers between</p> <p>11 0 and n minus 1. Those are integers. And</p> <p>12 so, therefore, the module operation here</p> <p>13 would be limited or would be referring to</p> <p>14 in that kind of field.</p> <p>15 BY ATTORNEY EKLEM:</p> <p>16 Q. Okay. Whenever you're doing a mod</p> <p>17 operation of this type, though, aren't you</p> <p>18 always using a defined finite field? Maybe not</p> <p>19 the same finite field as in your example, but</p> <p>20 wouldn't the finite field always be defined?</p> <p>21 ATTORNEY DESAI: Objection to</p> <p>22 form.</p> <p>23 THE WITNESS: Well, you're</p> <p>24 always working in a very particular finite</p> <p>25 field whose definition is given by the</p> | <p style="text-align: right;">21</p> <p>1 mod n."</p> <p>2 Do you see that?</p> <p>3 A. Yes.</p> <p>4 Q. So here you're identifying two</p> <p>5 techniques where one technique is reducing each</p> <p>6 intermediate result and the other technique is</p> <p>7 not reducing each intermediate result but doing</p> <p>8 one result -- one reduction at the end,</p> <p>9 correct?</p> <p>10 ATTORNEY DESAI: Objection to</p> <p>11 form.</p> <p>12 THE WITNESS: Exactly what</p> <p>13 you're asking here?</p> <p>14 BY ATTORNEY EKLEM:</p> <p>15 Q. What I'm asking is the description</p> <p>16 that you provide at the beginning of</p> <p>17 paragraph 31 identifies two ways to do</p> <p>18 reduction, correct?</p> <p>19 ATTORNEY DESAI: Objection to</p> <p>20 form.</p> <p>21 THE WITNESS: This particular</p> <p>22 paragraph is from a prior art of</p> <p>23 well-known book. And, essentially, it</p> <p>24 says you can reduce the temporary results</p> <p>25 and then compute the final results, or you</p> |

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| <p style="text-align: right;">22</p> <p>1 can continue -- compute the final results 2 without reduction. You would obtain the 3 same value. 4 BY ATTORNEY EKLEM: 5 Q. Okay. So in other words, if you do 6 it one way, you get a result; and if you do it 7 the other way, you get the same result, 8 correct? 9 ATTORNEY DESAI: Objection to 10 form. 11 THE WITNESS: If you do it one 12 way, you get that result. If you do it 13 the other way, you get another result, 14 which are modularly equivalent. That's 15 what it's saying. 16 BY ATTORNEY EKLEM: 17 Q. Okay. Is there -- is it possible to 18 reduce only some of the intermediate results 19 and also do a final reduction at the end as a 20 third option? 21 ATTORNEY DESAI: Objection to 22 form. 23 THE WITNESS: Any scenario is 24 possible. Some of it, all of it, a 25 quarter of it could be reduced during the</p> | <p style="text-align: right;">24</p> <p>1 So you're saying that if you did 2 it on a computer, you could not skip it. You 3 would necessarily have to do all of the 4 intermediate reductions? 5 ATTORNEY DESAI: Objection to 6 form. 7 THE WITNESS: Depending on the 8 code that performs the -- program that 9 performs the reduction, it would receive 10 the input and would go through -- the 11 steps of the code would produce the 12 output. In this particular case, it would 13 receive 50 and it would give out 50. 14 BY ATTORNEY EKLEM: 15 Q. Okay. So can you -- can you think of 16 a scenario where -- using a computer to do the 17 computations, can you think of a scenario where 18 only some of the intermediate results need to 19 be reduced, but not all of them? 20 ATTORNEY DESAI: Objection to 21 form. 22 THE WITNESS: I can think of a 23 program that would check the input. If 24 it's already less than n, it would just 25 simply output it. And I could think of a</p> |
| <p style="text-align: right;">23</p> <p>1 operation. 2 Each time you would get a 3 different number. Still, they would all 4 be equal to one another, modular n. 5 BY ATTORNEY EKLEM: 6 Q. Okay. So let's take a look at 7 paragraph 32. You provide a couple of examples 8 here. 9 A. Yeah. 10 Q. In the -- in the example that you 11 describe that reduces the intermediate results, 12 the modulus here is 97, correct? 13 A. Correct. 14 Q. And it's true that 50 mod 97 is 50 15 and 25 mod 97 is 25, correct? 16 A. Correct. 17 Q. So in the fourth line of your 18 example, where it shows the four mod operations 19 inside the brackets, technically you would not 20 need to do the last two on 50 and 25, correct? 21 A. If you do it by hand, you can skip it 22 as a human, but the computer would still have 23 to go through the process of reduction. 24 Q. Okay. So if you're doing it on a 25 computer -- I see.</p> | <p style="text-align: right;">25</p> <p>1 program like this, and that's sensible. 2 BY ATTORNEY EKLEM: 3 Q. Okay. 4 Okay. So let's go to 5 paragraph 34 on page 11, please. 6 A. Yes. 7 Q. In the second sentence, it says 8 [as read]: 9 "A finite field is a 10 field having a finite number 11 of elements." 12 Do you see that? 13 A. Yes. 14 Q. Now, you have Footnote 1 at the 15 bottom of the page that then says [as read]: 16 "A field is a set of 17 numbers with the usual 18 operations of addition, 19 multiplication, and division 20 and all the usual algebra 21 rules hold." 22 Do you see that? 23 A. Yes. 24 Q. So does that mean finite field 25 elements are always numbers?</p> |

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| <p style="text-align: right;">26</p> <p>1 A. The finite field elements could be</p> <p>2 numbers. The finite field -- there are finite</p> <p>3 fields with elements that are polynomials.</p> <p>4 There are finite fields whose elements are more</p> <p>5 complex than polynomials. But, yes, in this</p> <p>6 particular case, F_p prime field would be</p> <p>7 numbers.</p> <p>8 Q. Okay. Let's go to paragraph 44,</p> <p>9 please, on page 14.</p> <p>10 A. Yes.</p> <p>11 Q. Okay. The first sentence in</p> <p>12 paragraph 44 says [as read]:</p> <p>13 "Performing Montgomery</p> <p>14 reduction involves first</p> <p>15 adding to the reductant a</p> <p>16 carefully chosen integer</p> <p>17 multiple of the modulus (i.e.</p> <p>18 T plus m times n) such that</p> <p>19 the sum becomes divisible by</p> <p>20 the radix R equals 2 to the</p> <p>21 power of k."</p> <p>22 Do you see that?</p> <p>23 A. Yes.</p> <p>24 Q. Okay. So why do you want the sum to</p> <p>25 be divisible by R?</p> | <p style="text-align: right;">28</p> <p>1 shifted to right. If the number was 10 bits,</p> <p>2 it would shift it to right. If 4 bits, the</p> <p>3 number becomes 6 bits, which is now a smaller</p> <p>4 number.</p> <p>5 Q. Is there a way to perform the</p> <p>6 computation in the computer of dividing by R</p> <p>7 without -- without simply shifting?</p> <p>8 A. No, no reason to do the other way</p> <p>9 because the simpler way is already available to</p> <p>10 you.</p> <p>11 Q. But is there another way, I guess, is</p> <p>12 my question.</p> <p>13 A. If you try to divide by R, you end up</p> <p>14 shifting -- shifting it to right, period.</p> <p>15 Q. Meaning the same thing happens? Is</p> <p>16 that what you mean?</p> <p>17 A. Yeah. And you end up with the same</p> <p>18 result in fact going through the same steps.</p> <p>19 Q. This paragraph continues onto the</p> <p>20 next page.</p> <p>21 A. Yeah.</p> <p>22 Q. In the last sentence of the paragraph</p> <p>23 at the -- yeah. At the very end of the</p> <p>24 paragraph, it says [as read]:</p> <p>25 "This ensures that the</p> |
| <p style="text-align: right;">27</p> <p>1 A. The Montgomery algorithm -- the</p> <p>2 Montgomery reduction algorithm was invented in</p> <p>3 1985. It was not known before. But since</p> <p>4 then, we've learned there's a very fast way to</p> <p>5 do reduction using Montgomery's algorithm.</p> <p>6 Specifics of that algorithm,</p> <p>7 algorithmic details, mathematics is part of</p> <p>8 that sentence that tells you how it needs to</p> <p>9 function.</p> <p>10 Q. So in Montgomery's algorithm, the --</p> <p>11 you add -- you add a multiple of the modulus to</p> <p>12 the reductant, and the goal of doing that is to</p> <p>13 cause the modified reductant to become</p> <p>14 divisible by R; is that right?</p> <p>15 A. In one of the steps, yes, but</p> <p>16 allowing division by R allows you to make the</p> <p>17 number smaller, smaller and, therefore, you</p> <p>18 reduce the number from below to a number less</p> <p>19 than n. And that's your goal in Montgomery</p> <p>20 reduction.</p> <p>21 Q. So how does dividing by R cause the</p> <p>22 number to become smaller or lower, as you said?</p> <p>23 A. In a computer, R is the power of 2.</p> <p>24 Dividing by R implies shifting the number to</p> <p>25 right. R is 2 to $3k$ means k bits, the number</p> | <p style="text-align: right;">29</p> <p>1 result is in fact T times R to</p> <p>2 the minus 1 mod n."</p> <p>3 Do you see that?</p> <p>4 A. Yes.</p> <p>5 Q. The T in that expression, that is the</p> <p>6 modified reductant, right, not the original?</p> <p>7 A. The T is the original T.</p> <p>8 Q. T is the original --</p> <p>9 A. Original T and R inverse is stuck to</p> <p>10 it, is multiplied with it; you get that result.</p> <p>11 And that result would be less than that.</p> <p>12 Q. Okay. So must the radix, the R value</p> <p>13 in Montgomery reduction, necessarily be the</p> <p>14 power of 2?</p> <p>15 A. In the computer implementation where</p> <p>16 every number is a binary, it must be. On a</p> <p>17 piece of paper, you know, human to human, power</p> <p>18 of 10 would be more useful to elucidate.</p> <p>19 Q. So you're not aware of any computer</p> <p>20 implementations of Montgomery reduction where</p> <p>21 the radix is not 2?</p> <p>22 A. No. As an expert, as a teacher in</p> <p>23 computer arithmetic, I have seen other values</p> <p>24 of R being simulated. But the need of</p> <p>25 implementation is when R is a power of 2.</p> |

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| <p style="text-align: right;">30</p> <p>1 Q. Let's go to paragraph 46, please, on</p> <p>2 page 15.</p> <p>3 A. Okay.</p> <p>4 Q. The second-to-last sentence says</p> <p>5 [as read]:</p> <p>6 "One then shift a to the</p> <p>7 right by one word, thus</p> <p>8 reducing the length of a."</p> <p>9 Do you see that?</p> <p>10 A. Yes.</p> <p>11 Q. So what is a in this example? Is it</p> <p>12 a value, a reductant, an operand? What would</p> <p>13 you call it?</p> <p>14 A. It's one of the temporary results</p> <p>15 starting from reductant, ending up with the</p> <p>16 deduced value. It would take it one of the</p> <p>17 temporary values.</p> <p>18 Q. So if you look at the Figure 4 pasted</p> <p>19 into your declaration, which is on the next</p> <p>20 page --</p> <p>21 A. Yes.</p> <p>22 Q. -- the temporary value here that</p> <p>23 you're talking about would be a, including a 9</p> <p>24 through zero at the top, correct?</p> <p>25 A. Yes.</p> | <p style="text-align: right;">32</p> <p>1 Q. So then help me understand here the</p> <p>2 sentence that we were talking about at the --</p> <p>3 near the bottom of paragraph 46.</p> <p>4 You said [as read]:</p> <p>5 "One then shift a to the</p> <p>6 right by one word, thus</p> <p>7 reducing the length of a."</p> <p>8 So it says "reducing the length</p> <p>9 of a," but then you testified that what you're</p> <p>10 actually doing is making it longer and then</p> <p>11 bringing it back to the same length. So in</p> <p>12 what sense is it reducing the length?</p> <p>13 A. If you continue to look at Figure 4,</p> <p>14 you will see, after c is introduced in the next</p> <p>15 step, a new c is being introduced in the</p> <p>16 following step, a new c and a 0 being reduced</p> <p>17 to the left. And the following step, two 0s</p> <p>18 introduced. In the following step, three 0s</p> <p>19 are introduced. You're making the numbers</p> <p>20 smaller and smaller in this process.</p> <p>21 Q. So --</p> <p>22 A. So adding mn, adding mn may or may</p> <p>23 not immediately reduce the number; but in the</p> <p>24 final step, what you will obtain is a number</p> <p>25 that's equal to a mod n, a or inverse mod n for</p> |
| <p style="text-align: right;">31</p> <p>1 Q. So in this example, a is comprised of</p> <p>2 ten words, correct?</p> <p>3 A. A0 to a9, yes, ten words.</p> <p>4 Q. And so the shift causes the length of</p> <p>5 a to be reduced by one word, correct?</p> <p>6 A. Look at the figure very carefully.</p> <p>7 You will see that, starting with a9 to a0, n</p> <p>8 times n added to it, which may cause an extra k</p> <p>9 or c. But, however, also 0 is the least</p> <p>10 significant word, a0. The new value of the a0,</p> <p>11 now 0.</p> <p>12 So now together, if you count</p> <p>13 them, it actually -- you didn't reduce it.</p> <p>14 You, in fact, increase it to 11 words because</p> <p>15 now you must include c in it.</p> <p>16 But when you're shifted to</p> <p>17 right, that zero disappears but remains as ten</p> <p>18 words because c is still there.</p> <p>19 Q. The c is called a carry, correct?</p> <p>20 A. Carry word, yes.</p> <p>21 Q. And that's not part of the</p> <p>22 original -- the original -- can we call it an</p> <p>23 operand? Is a an operand in this case?</p> <p>24 A. C is obtained by adding mn to a. So</p> <p>25 it continues to be part of a now.</p> | <p style="text-align: right;">33</p> <p>1 Montgomery.</p> <p>2 Q. So if I understand you correctly, in</p> <p>3 the first iteration, the length of a is not</p> <p>4 reduced. But by the time you do several</p> <p>5 iterations -- it's after you do several</p> <p>6 iterations that the length is reduced; is that</p> <p>7 right?</p> <p>8 A. True. If you look at this example</p> <p>9 after the second iteration, it's reduced one</p> <p>10 word. After the third iteration, it's reduced</p> <p>11 two words and moves on that way.</p> <p>12 Q. And what is the purpose of making a</p> <p>13 shorter in this way?</p> <p>14 A. You have a purpose, and you want to</p> <p>15 compute aR inverse mod n, obtain a number that</p> <p>16 is less than n. That's your algorithm's</p> <p>17 objective. You want to accomplish it as shown.</p> <p>18 This algorithm accomplishes it.</p> <p>19 Q. Okay. So as a result of getting a</p> <p>20 smaller number, the length of a is thereby</p> <p>21 reduced as well?</p> <p>22 A. Finally, it would have to be less</p> <p>23 than n; otherwise, your algorithm did not work.</p> <p>24 Q. Well, let me ask my question a little</p> <p>25 bit differently.</p> |

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| <p style="text-align: right;">34</p> <p>1 So what I understood you to be 2 saying is the purpose is to make the number 3 smaller than n, but the number that you're 4 trying to make smaller than n is represented by 5 these words, correct? 6 A. The number -- final number smaller 7 than n is your objective. In between all the 8 temporary results, sometimes the size of it 9 could not be reduced, would be reduced later, 10 so it's not a good idea it will always be 11 reduced. 12 Q. But by the time you get to the end of 13 the multistep process, the number will be 14 reduced and the length of a will also be 15 reduced, correct, the number of words? 16 A. Yes. 17 ATTORNEY DESAI: Objection to 18 form. 19 THE WITNESS: At the final step, 20 number will be reduced. And a reduced 21 number will have the same length as n. 22 BY ATTORNEY EKLEM: 23 Q. Let me just try to clarify this a 24 little bit. 25 So in paragraph 46, the end</p> | <p style="text-align: right;">36</p> <p>1 Q. And at the end of the example, a 2 comprises six words if you count the carry, 3 correct? 4 A. Correct. 5 Q. So the reduction process took you 6 from ten words to six words, correct? 7 A. I didn't hear you. There was a 8 breakup. Can you repeat your question? 9 Q. Yes. 10 The reduction process took you 11 from ten words to six words, correct? 12 A. Correct. In this example, yes. 13 Q. And the reason why it went from ten 14 words to six words is because the number that 15 the words represent was reduced mod n, correct? 16 ATTORNEY DESAI: Objection to 17 form. 18 THE WITNESS: Finally, it would 19 deduce mod n, but the reason that it 20 becomes smaller is because the lower part 21 of it, lower word of it, becomes zero by 22 the additional mn. And then shift it to 23 right would bring you a product factor of 24 R to the minus w, whatever w is. And, 25 eventually, all of these would give you --</p> |
| <p style="text-align: right;">35</p> <p>1 of -- the end of the second-to-last sentence, 2 it says [as read]: 3 "Thus reducing the length 4 of a." 5 So I think there are two 6 concepts here. There's the length of a, and 7 then there's the number you're trying to make 8 smaller than n. 9 Those are not necessarily 10 equivalent or -- you know, they're not 11 identical concepts, right? They're kind of two 12 different concepts; is that correct? 13 ATTORNEY DESAI: Objection to 14 form. 15 THE WITNESS: Number a is a 16 temporary -- the number a in any one of 17 those five steps in Figure 4 is the 18 temporary result whose computation will 19 finally give you a mod n -- aR inverse mod 20 n. That's all there is to it. 21 BY ATTORNEY EKLEM: 22 Q. So at the beginning, we said that -- 23 at the top of the example, a comprises ten 24 words, correct? 25 A. Yes.</p> | <p style="text-align: right;">37</p> <p>1 all of those steps would give you a 2 reduced number, much less. 3 BY ATTORNEY EKLEM: 4 Q. So this example shows the Montgomery 5 reduction being performed in multiple steps, 6 and I think the figure labels it Steps 1 7 through 5, correct? 8 A. Correct. 9 Q. Now, the Montgomery reduction 10 technically does not have to be performed in 11 five steps, correct? It could be done in just 12 one? 13 A. Montgomery reduction, as described 14 here, continues to add mn, where at each Step 1 15 word becomes zero and the least significant 16 word. So the number of steps is equal to 17 really approximately the number of words. 18 So that's -- that -- what you 19 end up doing is you add a ten-word number; you 20 end up with that six-word number. So, 21 therefore, you have reduced the five words 22 using five steps. That's approximately what 23 happens. 24 Q. So you're doing it in multiple steps 25 because you have multiple words that represent</p> |

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| <p style="text-align: right;">38</p> <p>1 a, correct?</p> <p>2 A. I'm doing in multiple steps involving</p> <p>3 the words because that Montgomery algorithm can</p> <p>4 work that way.</p> <p>5 Q. And Montgomery algorithm works that</p> <p>6 way because some numbers are too big to be</p> <p>7 represented in a single machine word, right?</p> <p>8 A. The objective of Montgomery algorithm</p> <p>9 to have -- to reduce a number to the finite</p> <p>10 field, modular n. That's the objective.</p> <p>11 Q. Yes. Well, as we discussed before,</p> <p>12 right, a modulo n operation could be done in</p> <p>13 one step, right?</p> <p>14 Like, your example in</p> <p>15 paragraph 32, you were explaining how there's</p> <p>16 two ways to do a modulo. You could do one</p> <p>17 step, like 375 modulo 97 equals 84, or you</p> <p>18 could break it up and reduce intermediate --</p> <p>19 intermediate results and get the same answer of</p> <p>20 84, right?</p> <p>21 ATTORNEY DESAI: Objection to</p> <p>22 form.</p> <p>23 THE WITNESS: You're mixing</p> <p>24 concepts here.</p> <p>25</p> | <p style="text-align: right;">40</p> <p>1 Do you see it?</p> <p>2 A. Yes.</p> <p>3 Q. Okay. So what I think -- what I</p> <p>4 think I understand is that it's not -- it's not</p> <p>5 just the shifting to the right by one word</p> <p>6 reduces the length of a, but, instead, it's</p> <p>7 shifting to the right as many times as it takes</p> <p>8 to get to the end of your result to achieve</p> <p>9 aR to the minus 1 mod n, right?</p> <p>10 ATTORNEY DESAI: Objection to</p> <p>11 form.</p> <p>12 THE WITNESS: As I explained to</p> <p>13 you the way Figure 4 works, for example --</p> <p>14 not for example.</p> <p>15 Just look at Step 1. You have a</p> <p>16 ten-word a. And Step 2, you still have</p> <p>17 ten words, because you introduce c even</p> <p>18 after the shifting before shifting at 11.</p> <p>19 And then Step 5, now you have</p> <p>20 introduced one extra zero; now you have</p> <p>21 nine words, et cetera.</p> <p>22 So, therefore, this algorithm,</p> <p>23 the way it works, by adding multiples of</p> <p>24 n, zeroing the least significant word,</p> <p>25 still the number could be 10 or 11 words.</p> |
| <p style="text-align: right;">39</p> <p>1 BY ATTORNEY EKLEM:</p> <p>2 Q. Okay.</p> <p>3 A. That example in paragraph 32 has</p> <p>4 something to do with the -- doing the</p> <p>5 reductions as the operations, multiplications</p> <p>6 and additions, are done, interleaving them, a</p> <p>7 little bit of multiplication and addition and a</p> <p>8 little bit of a reduction, continuing that way.</p> <p>9 And -- or just going ahead and</p> <p>10 finishing the multiplication and doing</p> <p>11 reduction later, which would still be multiple</p> <p>12 steps, as Figure 4 shows us.</p> <p>13 Q. Okay. So then going back to</p> <p>14 paragraph 46.</p> <p>15 A. Okay.</p> <p>16 Q. I just want to -- I think -- I think</p> <p>17 I understand what's going on here.</p> <p>18 So the sentence we were talking</p> <p>19 about there, the second-to-last one, the</p> <p>20 [as read]:</p> <p>21 "One then shift a to the</p> <p>22 right by one word, thus</p> <p>23 reducing the length of a."</p> <p>24 That's the sentence I'm talking</p> <p>25 about.</p> | <p style="text-align: right;">41</p> <p>1 And then shifting it bring it to either</p> <p>2 nine or ten words -- in this case, ten</p> <p>3 words.</p> <p>4 And continuing that way, each</p> <p>5 time introducing the k word but still</p> <p>6 having more zeros on the left. And the</p> <p>7 final shifts, every single one of the</p> <p>8 shifts would give you a mod n -- aR</p> <p>9 inverse mod n.</p> <p>10 BY ATTORNEY EKLEM:</p> <p>11 Q. When you do Montgomery reduction this</p> <p>12 way, is there always a carry?</p> <p>13 A. Carry is not always. It's a</p> <p>14 statistical phenomenon. It happens sometimes,</p> <p>15 and it doesn't happen some other times.</p> <p>16 Q. Well, what do you mean by</p> <p>17 "statistical phenomenon"? Does that mean it</p> <p>18 happens rarely?</p> <p>19 A. Not rarely. It happens with at least</p> <p>20 or nearly 50 percent chance because you're</p> <p>21 adding a plus mn. The mn could introduce a</p> <p>22 carry or could not introduce a carry, and</p> <p>23 there's a chance of at least 50 percent.</p> <p>24 ATTORNEY EKLEM: Okay. I lost</p> <p>25 track of my time.</p> |

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| <p style="text-align: right;">42</p> <p>1 Joe, how long have we been on</p> <p>2 the record, or Jennifer, either of you?</p> <p>3 THE VIDEOGRAPHER: Yeah. We</p> <p>4 have 58 minutes.</p> <p>5 ATTORNEY EKLEM: Okay. Let me</p> <p>6 just do a couple more questions.</p> <p>7 And then, Dr. Koc, you want to</p> <p>8 just take a short break?</p> <p>9 THE WITNESS: As you wish.</p> <p>10 ATTORNEY EKLEM: Okay. So give</p> <p>11 me a second here.</p> <p>12 Actually, let's just go ahead</p> <p>13 and do a short break.</p> <p>14 Is five minutes okay?</p> <p>15 THE WITNESS: Fine.</p> <p>16 THE VIDEOGRAPHER: Okay. We are</p> <p>17 now going off the video record. The time</p> <p>18 is 9:06 a.m.</p> <p>19 - - -</p> <p>20 (Whereupon, a short recess was</p> <p>21 taken.)</p> <p>22 - - -</p> <p>23 THE VIDEOGRAPHER: We are now</p> <p>24 going back on the video record. The time</p> <p>25 is 9:20 a.m.</p> | <p style="text-align: right;">44</p> <p>1 modular arithmetic of</p> <p>2 performing an operation that</p> <p>3 'zeros' certain words."</p> <p>4 Do you see that?</p> <p>5 A. Yes.</p> <p>6 Q. Okay. And you have a citation here</p> <p>7 to a document, Exhibit Y.</p> <p>8 A. Yeah.</p> <p>9 ATTORNEY EKLEM: I'm going to</p> <p>10 put that up really quick.</p> <p>11 Let's see. That's Doc 11?</p> <p>12 THE VIDEOGRAPHER: Doc 11.</p> <p>13 Stand by.</p> <p>14 You want to mark it?</p> <p>15 ATTORNEY EKLEM: Yes, please.</p> <p>16 THE VIDEOGRAPHER: Stand by.</p> <p>17 BY ATTORNEY EKLEM:</p> <p>18 Q. Dr. Koc, feel free to look at your</p> <p>19 hard copy instead. Up to you.</p> <p>20 A. I have it.</p> <p>21 THE VIDEOGRAPHER: Document 11</p> <p>22 will be Exhibit 4.</p> <p>23 - - -</p> <p>24 (Whereupon, Exhibit 4 was marked</p> <p>25 for identification.)</p> |
| <p style="text-align: right;">43</p> <p>1 ATTORNEY EKLEM: Thank you.</p> <p>2 BY ATTORNEY EKLEM:</p> <p>3 Q. Welcome back, Dr. Koc.</p> <p>4 During the break, did you</p> <p>5 discuss the substance of your testimony with</p> <p>6 counsel?</p> <p>7 A. No.</p> <p>8 Q. Okay. Let's go to paragraph 51 of</p> <p>9 your declaration, please.</p> <p>10 A. Yes.</p> <p>11 Q. If you want to review it, go ahead.</p> <p>12 I'll just have a couple of quick questions</p> <p>13 about this paragraph. Whenever you're ready,</p> <p>14 just let me know.</p> <p>15 A. Go ahead and ask.</p> <p>16 Q. Great.</p> <p>17 So in paragraph 51, you refer to</p> <p>18 a step called "cancelation." And my question</p> <p>19 is:</p> <p>20 Is it your opinion that</p> <p>21 "cancelation" means the same thing as zeroing?</p> <p>22 A. Yeah, yes.</p> <p>23 Q. Okay. So you say that [as read]:</p> <p>24 "'Cancelation' is a term</p> <p>25 understood in the art of</p> | <p style="text-align: right;">45</p> <p>1 - - -</p> <p>2 BY ATTORNEY EKLEM:</p> <p>3 Q. Okay. And just let me know when you</p> <p>4 have that in front of you.</p> <p>5 A. Which page are we talking about now?</p> <p>6 I'm ready.</p> <p>7 Q. Let's go to -- let me get the -- it</p> <p>8 will be -- so the excerpt is four pages long.</p> <p>9 So it's the third and fourth page. Let's start</p> <p>10 on the fourth page, which is number 96 in the</p> <p>11 top left, but in the bottom right ends with</p> <p>12 3440.</p> <p>13 A. Okay.</p> <p>14 Q. Do you see, near the bottom, the</p> <p>15 Section 3.2.2, "Floating-Point Subtraction"?</p> <p>16 A. Yes.</p> <p>17 Q. What is floating-point subtraction?</p> <p>18 A. The first question is what is</p> <p>19 floating point?</p> <p>20 Floating point is a standard for</p> <p>21 representing real numbers on a computer. So,</p> <p>22 therefore, the real-number subtraction would be</p> <p>23 emulated by floating-point numbers being</p> <p>24 subtracted.</p> <p>25 Q. Okay. So then specifically on the</p> |

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| <p style="text-align: right;">46</p> <p>1 top of the next page, which is 97 in the top</p> <p>2 right or 3441 on the bottom right, the</p> <p>3 portion -- the very beginning portion of the</p> <p>4 page carries over from the previous.</p> <p>5 And the second line there on</p> <p>6 this page says [as read]:</p> <p>7 "This cancelation can be</p> <p>8 dramatic."</p> <p>9 Do you see that?</p> <p>10 A. Yes.</p> <p>11 Q. The cancelation that this document is</p> <p>12 talking about is the result of subtraction,</p> <p>13 right?</p> <p>14 A. Yes.</p> <p>15 Q. So how is this the same kind of</p> <p>16 cancelation that happens when a multiple of the</p> <p>17 modulus is added to the reductant in the</p> <p>18 Montgomery reduction? Because you're adding in</p> <p>19 that context.</p> <p>20 A. "Cancelation," as a term in modular</p> <p>21 arithmetic or any other type of arithmetic,</p> <p>22 implies performing an operation that zeros</p> <p>23 certain words. And that's all there is to it</p> <p>24 with this example.</p> <p>25 Q. So in this example, your declaration</p> | <p style="text-align: right;">48</p> <p>1 Q. So this excerpt comes from the larger</p> <p>2 document.</p> <p>3 Do you know if -- if you go up</p> <p>4 to the very beginning of this Exhibit 4 that</p> <p>5 we're looking at, not the title page that says</p> <p>6 "Exhibit Y," but the next one, the second one,</p> <p>7 this is an article titled "Modern Computer</p> <p>8 Arithmetic" by Richard Brent and Paul</p> <p>9 Zimmerman, Version 0.2, correct?</p> <p>10 A. Correct.</p> <p>11 Q. Have you reviewed the entirety of</p> <p>12 this document?</p> <p>13 A. I have read this document for my</p> <p>14 computer arithmetic courses and presented parts</p> <p>15 of it to my students.</p> <p>16 Q. Okay. Do you know if anywhere in the</p> <p>17 rest of that -- of this document, in its</p> <p>18 complete form, does it discuss Montgomery</p> <p>19 reduction?</p> <p>20 A. I don't remember because that was a</p> <p>21 course in lower division computer science.</p> <p>22 Montgomery wasn't included.</p> <p>23 I don't remember it now.</p> <p>24 ATTORNEY EKLEM: So let's go</p> <p>25 ahead, Joe, and put in Document 12 as the</p> |
| <p style="text-align: right;">47</p> <p>1 doesn't point to any other usage of the word</p> <p>2 "cancelation," correct?</p> <p>3 A. In Figure 4 that we have been</p> <p>4 reviewing, in fact, we zeroed in the lower part</p> <p>5 of the number.</p> <p>6 Q. Okay. Well, let me be -- let me ask</p> <p>7 the question a little differently.</p> <p>8 So other than the patent and</p> <p>9 other than this -- this Exhibit 4 that we're</p> <p>10 talking about, which is Exhibit Y to your</p> <p>11 declaration, other than Exhibit Y to your</p> <p>12 declaration and other than the patent, do you</p> <p>13 point to any documents that use the word</p> <p>14 "cancelation" to refer to zeroing?</p> <p>15 A. As an expert, I have seen that word</p> <p>16 to mean and zeroing part of the number. And I</p> <p>17 have seen in many places, not just in one.</p> <p>18 Q. Okay. And what kind of places have</p> <p>19 you seen it?</p> <p>20 A. Computer arithmetic-related context.</p> <p>21 Q. You didn't point to any of those</p> <p>22 other sources in your declaration, right?</p> <p>23 A. I referred to that one as a</p> <p>24 cancelation, and certain words are zero; then</p> <p>25 that's sufficient.</p> | <p style="text-align: right;">49</p> <p>1 next exhibit.</p> <p>2 - - -</p> <p>3 (Whereupon, Exhibit 5 was marked</p> <p>4 for identification.)</p> <p>5 - - -</p> <p>6 THE VIDEOGRAPHER: It will be</p> <p>7 Exhibit 5. Stand by.</p> <p>8 ATTORNEY EKLEM: Are we looking</p> <p>9 at Exhibit 5, Joe?</p> <p>10 THE VIDEOGRAPHER: Yes.</p> <p>11 Correct.</p> <p>12 ATTORNEY EKLEM: Okay. Sorry.</p> <p>13 It's the same cover page, so I wasn't...</p> <p>14 BY ATTORNEY EKLEM:</p> <p>15 Q. So, Dr. Koc, you can either follow</p> <p>16 along in the screen share or you can download</p> <p>17 the whole document.</p> <p>18 But does this appear to be the</p> <p>19 same title and author and version number of</p> <p>20 "Modern Computer Arithmetic" that we were just</p> <p>21 discussing in Exhibit 4.</p> <p>22 A. Yeah. This is the same as what I</p> <p>23 have as Exhibit Y, yes. I see that document,</p> <p>24 which is 190 pages, which I assume we're</p> <p>25 talking about the same document.</p> |

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| <p style="text-align: right;">50</p> <p>1 Q. Yeah. That's right. So -- but let's</p> <p>2 go to -- you can follow along or scroll through</p> <p>3 it yourself. But I want to go to PDF page 56,</p> <p>4 which is Section 3.2 -- 2.3.2.</p> <p>5 So here we have a section that</p> <p>6 discusses "Montgomery's Multiplication." And</p> <p>7 it carries over into the next page as well.</p> <p>8 A. Yes.</p> <p>9 Q. Montgomery multiplication involves</p> <p>10 Montgomery reduction, right?</p> <p>11 A. Yes.</p> <p>12 Q. Okay. If you want to just look at</p> <p>13 this section real quick and let me know if you</p> <p>14 see a discussion of using the word "canceling"</p> <p>15 in this area of the paper.</p> <p>16 A. Section 2.3.2, which is discussing</p> <p>17 Montgomery multiplication, has a very</p> <p>18 theoretical view. It doesn't have any</p> <p>19 examples. It doesn't have the steps of the</p> <p>20 algorithm, but for other ways to prove</p> <p>21 properties of the algorithm and the ranges of</p> <p>22 the numbers involved, et cetera.</p> <p>23 So it doesn't -- and that's why</p> <p>24 that it doesn't come to a place where lower</p> <p>25 parts of the numbers being canceled by adding a</p> | <p style="text-align: right;">52</p> <p>1 "cancellation," right?</p> <p>2 A. As I said, this algorithm is</p> <p>3 correctly executed in two steps here to show</p> <p>4 that the lower parts are being zeroed in, which</p> <p>5 is the same concept. Whether or not he uses a</p> <p>6 very particular expression for that is not</p> <p>7 relevant.</p> <p>8 Q. I understand you don't believe it's</p> <p>9 relevant, but it -- I guess I don't see the</p> <p>10 word "cancellation" in that paragraph.</p> <p>11 I'm just asking: Do you see the</p> <p>12 word "cancellation"?</p> <p>13 ATTORNEY DESAI: Objection.</p> <p>14 It's argumentative. I mean, it's not</p> <p>15 there. So, I mean, do you need him to</p> <p>16 confirm it's not there?</p> <p>17 Dr. Koc, you can answer.</p> <p>18 THE WITNESS: And Brent didn't</p> <p>19 use the word "cancellation" in this</p> <p>20 paragraph.</p> <p>21 BY ATTORNEY EKLEM:</p> <p>22 Q. Okay. But he did use it in the</p> <p>23 discussion of floating-point subtraction,</p> <p>24 right?</p> <p>25 A. He may have used it in other places</p> |
| <p style="text-align: right;">51</p> <p>1 multiple of the modulus to it.</p> <p>2 Q. On page 57, the bottom paragraph</p> <p>3 there that starts with "For example," does</p> <p>4 this -- does this not show multiplying a</p> <p>5 multiple or adding a multiple of the modulus</p> <p>6 to -- to the input C?</p> <p>7 A. In this C is equal to C plus 924N</p> <p>8 beta, is computed, as we can see the lower part</p> <p>9 of the number zeroed in. So he actually shows</p> <p>10 that lower parts of zero because that's how</p> <p>11 Montgomery is proven. Whether or not he used</p> <p>12 the English expression "cancellation" is not</p> <p>13 very important.</p> <p>14 Q. Okay. But we agree that he does not</p> <p>15 use the word "cancellation," right?</p> <p>16 A. We agree that it does an operation</p> <p>17 which zeros the lower part of the number.</p> <p>18 Q. Right. But my question is just --</p> <p>19 it's specifically does this paragraph or any</p> <p>20 paragraph around it use the word "cancellation"</p> <p>21 to describe that?</p> <p>22 A. This particular paragraph does the</p> <p>23 steps of the Montgomery correctly and obtains</p> <p>24 the number correctly.</p> <p>25 Q. And it does not use the word</p> | <p style="text-align: right;">53</p> <p>1 too, yeah.</p> <p>2 ATTORNEY EKLEM: Joe, let's put</p> <p>3 in Document 10 as the next exhibit,</p> <p>4 please.</p> <p>5 - - -</p> <p>6 (Whereupon, Exhibit 6 was marked</p> <p>7 for identification.)</p> <p>8 - - -</p> <p>9 BY ATTORNEY EKLEM:</p> <p>10 Q. Dr. Koc, Exhibit T, as in Tom, to</p> <p>11 your declaration.</p> <p>12 A. Okay. I have it.</p> <p>13 Q. Great.</p> <p>14 So now on the third page of the</p> <p>15 document, the bottom right corner -- well, the</p> <p>16 bottom of the page is 519. The Bates number</p> <p>17 ends with 1275.</p> <p>18 At the top of this page, the</p> <p>19 title is "Modular Multiplication Without Trial</p> <p>20 Division" by Peter L. Montgomery.</p> <p>21 Do you see that?</p> <p>22 A. Yes.</p> <p>23 Q. So this is the original Montgomery</p> <p>24 paper, Montgomery reduction paper, right?</p> <p>25 A. Yes, it is.</p> |

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| <p style="text-align: right;">54</p> <p>1 Q. This paper does not use the word 2 "cancelation" to describe the process of 3 Montgomery reduction, does it? 4 A. Montgomery original paper is known to 5 be extremely compact paper. As you can see, 6 it's only three pages -- in fact, two pages, 7 because one of them is references. So, 8 therefore, he was very succinct in trying to 9 make a point that t gets T plus mN divisible 10 by R. That's all he cared. 11 All he cared was to show people, 12 hey, T plus mN is divisible by R. So that's 13 all he did. And he didn't give an example. He 14 didn't go through the steps. 15 He's a very succinct person. I 16 have known him and when he was alive and he was 17 living, and we had worked in the same 18 university. Yeah. That's what he does. Very 19 succinctly, he just tries to prove that T plus 20 mN is divisible by R, period. 21 Q. You can set that aside. 22 Let's go back to your 23 declaration, paragraph 52, please. 24 A. Okay. I have it. 25 Q. Okay. The last sentence of -- on</p> | <p style="text-align: right;">56</p> <p>1 over to the next page. I just want to point 2 that out because you need to look at the whole 3 sentence here. 4 A. Yeah. I do. I see it. 5 Q. So in that sentence, you say that 6 [as read]: 7 "The term a_0 times 8 n prime times 2 to the w is 9 necessarily modularly 10 equivalent to $a_0 \bmod n$." 11 Do you see that? 12 A. Yeah. 13 Q. Why is that term necessarily 14 equivalent to $a_0 \bmod n$? 15 A. The way n prime is computed. 16 Q. So the modular equivalence comes from 17 the way n prime is computed? 18 A. Yes. 19 Q. And n prime is computed -- I think 20 you have it a little higher up in your 21 paragraph. There's a sentence that says 22 [as read]: 23 "Specifically, the 24 '286 Patent describes using 25 the 'new value' n prime equals</p> |
| <p style="text-align: right;">55</p> <p>1 this page carries over to the next page. It's 2 not the last -- it's the second-to-last 3 sentence of the paragraph. And it begins with 4 [as read]: 5 "It describes this 6 replacement." 7 Do you see that? 8 ATTORNEY DESAI: What page was 9 that again? I'm sorry. 10 ATTORNEY EKLEM: Oh, sorry, Joe. 11 We're on -- 12 THE WITNESS: This is -- I don't 13 have the correct -- yeah. 14 BY ATTORNEY EKLEM: 15 Q. That's okay. It's page 19 of the 16 declaration, which is Exhibit 1 to the 17 deposition. 18 So, again, Dr. Koc, it's 19 paragraph 52 of your declaration on page 19. 20 THE VIDEOGRAPHER: Got it. 21 THE WITNESS: Yeah. 22 BY ATTORNEY EKLEM: 23 Q. Yeah, so at the bottom of the page is 24 a sentence that begins with -- it describes 25 this replacement, and that sentence carries</p> | <p style="text-align: right;">57</p> <p>1 2 to the minus $w \bmod n$ for 2 performing Montgomery 3 reduction." 4 Do you see that? 5 A. Yes, it does. 6 Q. Okay. So that's what you're 7 referring to, the computation of n prime where 8 it equals 2 to the minus $w \bmod n$? 9 A. Yes. In fact, 2 to the minus w 10 times 2 to the w together would give you one 11 modular n. That gives you a_0. So a_0 is equal 12 to $a_0 \bmod n$. 13 Q. So the multiplying by 2 to the minus 14 w is necessary here to make it equivalent to a_0 15 $\bmod n$, right? 16 A. Multiplying by 2 to the w, together 17 $a_0 n$ prime, would necessarily give you a_0 equal 18 to $a_0 \bmod n$. 19 Q. Okay. So then if I took out the 2 to 20 the -- if I took out 2 to the w and if -- if it 21 would -- suppose it was just a_0 times n prime; 22 is that still modularly equivalent to $a_0 \bmod n$? 23 A. When you're multiplying a_0, it would 24 be -- when you're multiplying a_0 by another 25 number and adding it to the rest of the a, but</p> |

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| <p style="text-align: right;">58</p> <p>1 multiplying a_0 by another number, you would</p> <p>2 obtain a number not necessarily equal to $\text{mod } n$.</p> <p>3 Q. Okay. So multiplying 2 to the w --</p> <p>4 yeah. So let me -- let me start over here.</p> <p>5 So in the expression a_0 times</p> <p>6 n prime times 2 to the w, that expression needs</p> <p>7 to have 2 to the w there for it to be modularly</p> <p>8 equivalent to $a_0 \text{ mod } n$, right?</p> <p>9 A. Yes.</p> <p>10 Q. Okay. I see.</p> <p>11 Okay. My notes are -- let's go</p> <p>12 to paragraph 55, please, on page 21.</p> <p>13 In the middle -- right about in</p> <p>14 the middle of the paragraph there's a sentence</p> <p>15 that begins about -- you know, it begins with</p> <p>16 [as read]:</p> <p>17 "Because the LSW of the</p> <p>18 addend a_0 times n prime times</p> <p>19 2 to the w."</p> <p>20 Do you see that sentence?</p> <p>21 A. Yes.</p> <p>22 Q. Okay. That sentence -- inside that</p> <p>23 sentence there's parentheses, and it says</p> <p>24 [as read]:</p> <p>25 "Multiplying 2 to the w</p> | <p style="text-align: right;">60</p> <p>1 patent is. And this example closely follows</p> <p>2 it.</p> <p>3 Q. So in the answer you just gave, you</p> <p>4 said -- you said you can either zero the least</p> <p>5 significant word of the reductant or don't.</p> <p>6 So you were describing the</p> <p>7 patent, right? You're saying the patent</p> <p>8 teaches that you can -- hold on.</p> <p>9 So you're saying that the</p> <p>10 '286 Patent teaches that you can either zero</p> <p>11 the least significant word of the reductant or</p> <p>12 don't?</p> <p>13 A. No, that's not what I said.</p> <p>14 '286 Patent gives one</p> <p>15 embodiment, which I have shown in this</p> <p>16 particular -- in paragraph -- the example in</p> <p>17 paragraph 56.</p> <p>18 And there, to your question, as</p> <p>19 highlighted in yellow here, equals the LSW of</p> <p>20 the addend is also zero.</p> <p>21 The answer, yes, it would be</p> <p>22 zero, because 2 to the w multiplied by 2 to</p> <p>23 the w, any number, would introduce w 0s to the</p> <p>24 right of it in bits.</p> <p>25 And multiplying any number by 10</p> |
| <p style="text-align: right;">59</p> <p>1 adds w number of zeros to the</p> <p>2 end of the addend."</p> <p>3 Do you see that?</p> <p>4 A. Yeah.</p> <p>5 Q. So how does multiplying 2 to the w</p> <p>6 add zeros to the addend? Because I understand</p> <p>7 how it works in the decimal example that you</p> <p>8 gave where you're using ten, so that makes --</p> <p>9 that's intuitive.</p> <p>10 But in this context, where it's</p> <p>11 multiplying by 2 to the w, how does that create</p> <p>12 zeros?</p> <p>13 A. Whether it's modular 10 or modular 2</p> <p>14 doesn't really makes difference except that</p> <p>15 humans understand modular 10 better.</p> <p>16 '286 Patent offers a</p> <p>17 modification to Montgomery algorithm by</p> <p>18 introducing n prime, which is equal to 2 to the</p> <p>19 minus $w \text{ mod } n$.</p> <p>20 And the example on page 23 of my</p> <p>21 declaration shows the steps of it, where you</p> <p>22 can either zero LSW of the reductant or don't.</p> <p>23 And if you add $a_0 n$ prime 10 to the w to it,</p> <p>24 you would have the least significant digit,</p> <p>25 zero. And that is what the premise of the</p> | <p style="text-align: right;">61</p> <p>1 to the w in my example would introduce w0s in</p> <p>2 decimal to the right of it. And this is the</p> <p>3 embodiment given in the patent.</p> <p>4 However, it can be shown that</p> <p>5 you can 0 the LSW and add the addend. Or you</p> <p>6 can add 0 or not. You can add not n prime, not</p> <p>7 $a_0 n$ prime 2 to the w, but $a_0 n$ prime plus n</p> <p>8 times 2 to the w or minus n 2 to the w. All of</p> <p>9 those would also work.</p> <p>10 And that's the statements in my</p> <p>11 declaration source very clearly. But when I</p> <p>12 look at the patent, I see only one of them</p> <p>13 being shown by example.</p> <p>14 And the other one in part of the</p> <p>15 patent was mentioned in the specification part</p> <p>16 just in passing. It could be negative also,</p> <p>17 which means the patentor was aware of it</p> <p>18 probably.</p> <p>19 Q. Okay. So then let's go to</p> <p>20 paragraph 57 of your declaration, please, on</p> <p>21 page 23.</p> <p>22 A. Yeah. Right here.</p> <p>23 Q. So the first sentence here says</p> <p>24 [as read]:</p> <p>25 "While both standard</p> |

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| <p style="text-align: right;">62</p> <p>1 Montgomery method and the</p> <p>2 '286 Patent's method involve</p> <p>3 clearing the least significant</p> <p>4 portions of an unreduced</p> <p>5 operand and leaving the</p> <p>6 remainder in the more</p> <p>7 significant portions, each</p> <p>8 does so in mathematically</p> <p>9 distinct ways."</p> <p>10 Do you see that?</p> <p>11 A. Yes.</p> <p>12 Q. So what do you mean by "clearing" in</p> <p>13 this sentence?</p> <p>14 A. Cancellation. Making 0.</p> <p>15 Q. So let's move on to paragraph 73 of</p> <p>16 your declaration, please.</p> <p>17 Yes. 73, which is --</p> <p>18 A. Yes, I have it.</p> <p>19 Q. -- bottom of page 30.</p> <p>20 A. Yes. I have it.</p> <p>21 Q. This is talking about -- this is in</p> <p>22 the context of different patents, right? This</p> <p>23 is not the '286 anymore.</p> <p>24 A. Yeah.</p> <p>25 Q. Actually, the exhibit to your</p> | <p style="text-align: right;">64</p> <p>1 the finite field, and the other is wordsize</p> <p>2 reduction.</p> <p>3 Q. So the wordsize reduction is not a</p> <p>4 finite field reduction, correct?</p> <p>5 A. Wordsize reduction allows you to</p> <p>6 eventually do finite field reduction if that's</p> <p>7 your objective.</p> <p>8 Q. But the wordsize reduction is not</p> <p>9 itself a finite field reduction, right?</p> <p>10 A. Again, it depends on the context. If</p> <p>11 it is very clearly some algorithm like</p> <p>12 Montgomery is being used, which keeps the</p> <p>13 number modular n along its computations and</p> <p>14 then, therefore, wordsize reduction would</p> <p>15 eventually produce a number that is equivalent</p> <p>16 to a number inside the finite field.</p> <p>17 The difference between finite</p> <p>18 field reduction was the word size in this</p> <p>19 context as was given in the specification of</p> <p>20 this patent. And the finite field reduction,</p> <p>21 you would have the number reduced less than n.</p> <p>22 And the wordsize reduction, you</p> <p>23 would just -- it's okay to keep the number</p> <p>24 larger than n but still within certain number</p> <p>25 of words so that the registers keeping the</p> |
| <p style="text-align: right;">63</p> <p>1 declaration that you're citing here is the</p> <p>2 '062 Patent. So I just want to set that</p> <p>3 straight.</p> <p>4 So paragraph 73 here, you're</p> <p>5 discussing portions of the '062 Patent,</p> <p>6 correct?</p> <p>7 A. Yeah.</p> <p>8 Q. Okay.</p> <p>9 A. I think that's correct.</p> <p>10 Q. All right. So in paragraph 73, the</p> <p>11 second sentence -- I'm sorry -- the first</p> <p>12 sentence says [as read]:</p> <p>13 "The specification</p> <p>14 describes two types of</p> <p>15 reduction: one that is</p> <p>16 'specific to a certain finite</p> <p>17 field, or a wordsize</p> <p>18 reduction."</p> <p>19 Do you see that?</p> <p>20 A. Yes.</p> <p>21 Q. So in that sentence of the</p> <p>22 '062 Patent, it's your opinion that the</p> <p>23 '062 Patent is referring to two different types</p> <p>24 of finite field reduction?</p> <p>25 A. Two types of reduction. One is to</p> | <p style="text-align: right;">65</p> <p>1 number would not be overflow. That's their</p> <p>2 difference.</p> <p>3 Q. So you said in the wordsize reduction</p> <p>4 it's okay to keep the number larger than n?</p> <p>5 A. In paragraph 74, I explain that</p> <p>6 further.</p> <p>7 Q. Okay. I saw that you gave an example</p> <p>8 of how that could work in paragraph 74, but</p> <p>9 does the patent say that?</p> <p>10 A. As an expert with the wordsize</p> <p>11 reduction and finite field reduction, this is</p> <p>12 my understanding of what each one of them is.</p> <p>13 In paragraph 73 and 74, I try to explain that.</p> <p>14 Q. Okay. I just -- I mean, we can look</p> <p>15 at paragraph 74 here for a second. I just want</p> <p>16 to make sure that I didn't miss something.</p> <p>17 I understand it's your expert</p> <p>18 opinion that with wordsize reduction the number</p> <p>19 can stay larger than n. But does the patent</p> <p>20 itself say anywhere that in the wordsize</p> <p>21 reduction the number can stay larger than n?</p> <p>22 A. The patent says that the wordsize</p> <p>23 reduction should lower the length of the result</p> <p>24 to appropriate word length of the underlying</p> <p>25 finite field so that those numbers can be kept</p> |

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| <p style="text-align: right;">66</p> <p>1 in registers of the same word length. And my 2 paragraph just explains that. 3 Q. Okay. Well, we'll get to that 4 example here in a second. 5 Actually, let's just go to 6 paragraph 74 here for a minute. 7 So in paragraph 74 -- in 8 paragraph 74, you're describing the wordsize 9 reduction or what you're calling the wordsize 10 reduction, correct? 11 A. Yes. In 73, I'm describing reduction 12 with respect to a finite field. In 13 paragraph 74, I describe wordsize reduction. 14 Q. Okay. When you're describing the 15 wordsize reduction in paragraph 74, you have a 16 citation in the middle of your paragraph to 17 Column 8, lines 44 through 47, of the 18 '062 Patent. 19 Do you see that? 20 A. Yeah, I do. 21 Q. Okay. So let's take a look at that 22 real quick. 23 ATTORNEY EKLEM: Joe, that's 24 Document 6. Let's enter that as the next 25 exhibit.</p> | <p style="text-align: right;">68</p> <p>1 THE WITNESS: Okay. 2 BY ATTORNEY EKLEM: 3 Q. And then lines 40 through 49 -- 4 A. Yeah. 5 Q. -- I just want to parse this out a 6 little bit. 7 So at the end of line 40, 8 there's a sentence that says [as read]: 9 "After applying the 10 word-sized algorithm 440, the 11 finite field engine reduces 12 the result using a finite 13 field reduction 450." 14 Do you see that? 15 A. Yes. 16 Q. Okay. So -- so the first thing here 17 is this finite field reduction 450. And then 18 the next sentence says [as read]: 19 "The finite field 20 reduction may be specific to a 21 certain finite field, or a 22 wordsize reduction." 23 A. Yes. 24 Q. So that sentence is describing 25 something about the finite field reduction 450,</p> |
| <p style="text-align: right;">67</p> <p>1 - - - 2 (Whereupon, Exhibit 7 was marked 3 for identification.) 4 - - - 5 BY ATTORNEY EKLEM: 6 Q. And, Dr. Koc, I believe that's 7 Exhibit D to your -- 8 A. Yeah. Okay. 9 Q. -- to your declaration, if you have 10 your copy of it. 11 A. I do. 12 THE VIDEOGRAPHER: I'm marking 13 Document 6 as Exhibit 7. 14 THE WITNESS: Go to Column 8? 15 BY ATTORNEY EKLEM: 16 Q. Yes, please. Yeah. Let's go to 17 Column 8. And then let's just focus on the 18 lines 40 through 49. That should be enough. 19 THE VIDEOGRAPHER: What page is 20 that? I'm sorry. 21 ATTORNEY EKLEM: It's in 22 Column 8, so I don't have the page number. 23 But just keep going down. I'll tell you 24 when to stop. You'll start seeing the 25 columns there in a second.</p> | <p style="text-align: right;">69</p> <p>1 right? 2 A. Yes. 3 Q. Okay. And then the next sentence 4 says [as read]: 5 "The reduction should 6 lower the length of the result 7 to the appropriate word length 8 of the underlying field." 9 Do you see that? 10 A. Yes. 11 Q. Okay. So when it says "the 12 reduction," is it your opinion that the 13 reduction in that third sentence is only 14 talking about the wordsize reduction and not 15 talking about the specific reduction? 16 A. The following sentence gives you the 17 clue. The following sentence says [as read]: 18 "This way the finite 19 field elements may be 20 consistently stored in 21 registers of the same word 22 length." 23 So they're talking about 24 wordsize reduction. 25 Q. Do you think there's another way to</p> |

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| <p style="text-align: right;">70</p> <p>1 interpret that where the reduction that lowers 2 the length of the result is what is supposed to 3 be accomplished by the finite field reduction 4 450? 5 A. The finite field reduction is 6 supposed to obtain a number that's less than n 7 if you're talking about modular n prime fields. 8 And then the wordsize reduction 9 produces a number that fits to the available 10 word length, not beyond that. 11 Could still be less than n. 12 Could be larger than n. There's nothing 13 confusing here, neither in the patent nor in my 14 paragraphs, two paragraphs. It's very clear. 15 Q. Could a reduction specific to a 16 certain finite field achieve the purpose of 17 lowering the length of the result to the 18 appropriate word length of the underlying 19 field? 20 A. We have discussed this before. If 21 the given input is already -- after, let's say, 22 a multiplication, it's already less than n, we 23 end up not reducing it further. 24 So reduction in length is not 25 given, not always -- not always happens. But</p> | <p style="text-align: right;">72</p> <p>1 want to get a quick clarification of something 2 here. 3 The last sentence of 4 paragraph 74 before -- before your bullet point 5 examples, it says [as read]: 6 "The patents teach 7 storing a" -- 8 Excuse me. It says [as read]: 9 "The patents teach 10 storing a finite field 11 elements in two words because 12 the modulus," and then it 13 gives parentheses. 14 It's a little unclear to me what 15 you mean here. It says "because the modulus." 16 But "because the modulus," what? 17 A. In the previous sentence, it says 18 [as read]: 19 "A system of having word 20 size of 3 and a finite field 21 modulus of 10,007." 22 And then -- so two words because 23 5 over 3 rounded up is 2. And so, therefore, 24 all the numbers are -- in this particular 25 finite field, will have two words.</p> |
| <p style="text-align: right;">71</p> <p>1 it has to be less than n. That's all there is 2 to it. 3 Q. Right. But my question maybe was 4 just a little bit different. 5 My question is: 6 Could -- is it possible for a 7 reduction specific to a certain finite field to 8 achieve the purpose of lowering the length of 9 the result to the appropriate word length of 10 the underlying field? 11 A. I have given several examples in this 12 paragraphs and the follow-up paragraphs that 13 the reduction in total length may not 14 necessarily happen at all times. 15 Q. So -- 16 A. In the following paragraph, 76, I 17 give very specific examples. 18 Q. Right. So your examples, I think you 19 said, are intended to show that the -- that it 20 may not necessarily lower the word length, but 21 it could, right? 22 A. Yeah. That necessarily means that. 23 "Not necessarily" means exactly that it could. 24 It may not. 25 Q. Okay. So in paragraph 74 -- I just</p> | <p style="text-align: right;">73</p> <p>1 And so a number like 10 million 2 reduced to the specific finite field of 10,007 3 becomes 3,007. But if you do a wordsize 4 reduction of 10 million, then you generate a 5 two-word number congruent to the same number, 6 same result, 3,007. 7 For example, you would have -- 8 993,700 would be an acceptable result because 9 they are congruent and they take six digits or 10 two words. 11 Q. Okay. So -- 12 A. I explain it that way. And there's 13 nothing wrong here in this part. 14 Q. So then let me just make sure I 15 understand. 16 When you say, "The patents teach 17 storing a finite field elements in two words 18 because the modulus," that means that it 19 teaches storing the elements in two words 20 because the modulus -- it's a function of the 21 size of the modulus, right? 22 A. Yeah. Modulus size. 23 Q. Okay. So in that sentence, it's 24 specific to the modulus? 25 A. Yes, of course. Everything we do is</p> |

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| <p style="text-align: right;">74</p> <p>1 specific to the modulus. We're doing finite 2 field arithmetic in prime fields. We have a 3 particular modulus, in this case 10,007, which 4 requires six digits or two words. One word has 5 two digits. 6 Q. Okay. So the modulus is a 7 characteristic of the finite field that you're 8 working with. 9 A. The modulus defines the finite field. 10 Q. Okay. So the wordsize reduction 11 reduces the length to a size that is specific 12 to the modulus of the finite field, right? 13 A. No. Wordsize reduction reduces a 14 number specific to the number of words selected 15 for that operations. 16 That's why you have 993,700, not 17 a number less than 10,007. 18 Q. And is the number of words selected 19 based on the modulus of the finite field? 20 A. Precisely. It could be, as long as 21 it's not less than that. It can be bigger if 22 10,007 is five digits. You could at least 23 select six digits, or you could select eight 24 digits, ten digits, all fine. 25 Q. So the wordsize reduction is specific</p> | <p style="text-align: right;">76</p> <p>1 Do you see that? 2 A. Yes. 3 Q. So what do you mean by "reducing with 4 the specific finite field"? Because that 5 sounds different than reducing -- okay. So let 6 me just take a step back. 7 So the part of the patent that 8 we were talking about discussed these, you 9 know, what we say are two types of reduction. 10 One of them was a reduction specific to a 11 certain finite field, and here you're saying 12 it's a reduction with the specific finite 13 field. 14 Is there a difference there? 15 A. Two. 16 Q. So why is the first example here -- 17 in what way is it specific to a finite field? 18 A. The finite field modulus is n equals 19 to 10,007, the result is less than 10,007: 20 That's why. 21 Q. Okay. It's specific to the finite 22 field because of the fact that you're using a 23 specific modulus, right? 24 A. Modulus defines the finite field. 25 For the same finite field, I cannot use a</p> |
| <p style="text-align: right;">75</p> <p>1 to the finite field that you're working with 2 because it's based on the modulus, right? 3 A. No. Wordsize reduction is aspecific 4 to the number of words the numbers are 5 represented. And the reduced number could be 6 larger than the modulus but less than the 7 number of words -- 8 Q. Okay. 9 A. -- it's the total the number of 10 words. 11 Q. The number of words that you choose 12 to use is based on the modulus that you're 13 working with, right? 14 A. It cannot be less than modulus, but 15 it has to be bigger than the modulus. And it 16 can be quite big, like in this case. 17 Q. So you have two bullet points that 18 are two different examples in paragraph 74. 19 Let's talk about the first one for a second. 20 A. Yeah. 21 Q. The words here say [as read]: 22 "Reducing 10 million with 23 the specific finite field: 24 10 million mod 10,007 equals 25 3,007."</p> | <p style="text-align: right;">77</p> <p>1 different modulus. It has to be -- there's 2 only one modulus for every finite field. 3 Q. Okay. So in your second example, you 4 call it wordsize reduction. And it says 5 [as read]: 6 "Generate a 6-digit 7 (2-word) number congruent to 8 3,007 mod 10,007, for example, 9 993,700." 10 Do you see that? 11 A. Yes. 12 Q. Okay. So why are you starting with 13 3,007? 14 A. Because that's what the result must 15 be congruent to. 16 Q. And it must be congruent to that 17 because 10 million mod 10,007 is 3,007, right? 18 A. Indeed. 19 Q. So in your second example, the number 20 you're generating depends on the modulus, 21 correct? 22 A. All numbers -- all numbers generated 23 temporarily or finally must be congruent to one 24 another modular n. 25 Q. Okay. So this wordsize reduction is</p> |

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| <p style="text-align: right;">78</p> <p>1 specific to this modulus, 10,007, right?</p> <p>2 ATTORNEY DESAI: Objection to</p> <p>3 form.</p> <p>4 THE WITNESS: It's specific to</p> <p>5 its size, then it is -- as you can see,</p> <p>6 the -- we start with 10 million, which is</p> <p>7 an eight-digit number. They apply the</p> <p>8 reduction algorithm. If you're lazy, a</p> <p>9 reduction algorithm would be just</p> <p>10 continually subtract 10,007 from</p> <p>11 10 million.</p> <p>12 Keep doing it until you obtain a</p> <p>13 number that's in six digits; you can stop.</p> <p>14 That is the number 9,900 rather than</p> <p>15 3,700, which is equal to 3,007 modular n,</p> <p>16 n being 10,007.</p> <p>17 BY ATTORNEY EKLEM:</p> <p>18 Q. So in the first example, the result</p> <p>19 of the modular operation is 3,007, correct?</p> <p>20 A. Yes.</p> <p>21 Q. So that -- that fits into two words,</p> <p>22 correct?</p> <p>23 A. That 10,007 doesn't. 10,007 requires</p> <p>24 six words. So any other number could be as big</p> <p>25 as -- nearly as big as 10,007.</p> | <p style="text-align: right;">80</p> <p>1 for all such numbers? That would be very</p> <p>2 incorrect.</p> <p>3 Q. Well, I guess what I'm trying to get</p> <p>4 to is in your second example -- so both</p> <p>5 examples are performing some kind of reduction</p> <p>6 on 10 million, right?</p> <p>7 A. Yes.</p> <p>8 Q. And the second example creates a</p> <p>9 six-digit, two-word number by finding one that</p> <p>10 is congruent to 3,007 mod, 10,007, right?</p> <p>11 A. Correct.</p> <p>12 Q. So if you -- so you're looking for --</p> <p>13 you're looking for -- you're looking for a</p> <p>14 number that's congruent to something that</p> <p>15 you've already determined.</p> <p>16 A. No, that's not correct. I don't know</p> <p>17 what I am producing with the reduction</p> <p>18 algorithm. The reduction algorithm keeps</p> <p>19 working. We have -- together we have looked at</p> <p>20 Montgomery as well as '286. That algorithm</p> <p>21 continually work on the number step by step.</p> <p>22 And here in bullet number 2, the reduction</p> <p>23 algorithm is instructed to stop when a</p> <p>24 six-digit number is reached. Stop. And stop,</p> <p>25 what did they get? 993,700. Perfect.</p> |
| <p style="text-align: right;">79</p> <p>1 For example, any result like</p> <p>2 10,006 would require five digits, which is at</p> <p>3 least two words, which is actually six digits.</p> <p>4 Q. Well, so in your second example,</p> <p>5 you're seeking to generate a six-digit,</p> <p>6 two-word number, correct?</p> <p>7 A. My goal is to end up with a six-digit</p> <p>8 number, not more.</p> <p>9 Q. Okay. But by doing the procedure in</p> <p>10 the first example, 10 million mod 10,007, you</p> <p>11 get a number that fits within two words, right?</p> <p>12 A. It does. But any other number --</p> <p>13 20 million may not produce a four-digit number.</p> <p>14 You know, two-digit number. Two-word number,</p> <p>15 you know. Another number, another number,</p> <p>16 another number. You need to make sure that you</p> <p>17 have a space for all possible outputs which are</p> <p>18 as big as 10,007, which is five decimal digits</p> <p>19 and two words. And we have decided that we</p> <p>20 want to give them six digits -- decimal digits</p> <p>21 because two words actually allows you six</p> <p>22 decimal digits.</p> <p>23 So just because one example is</p> <p>24 only two digits, another example could be even</p> <p>25 one digit. Would you reserve a one-digit space</p> | <p style="text-align: right;">81</p> <p>1 Is this number mathematically</p> <p>2 equivalent to 3,007 modular n? Yes. But the</p> <p>3 computer didn't know that algorithm, doesn't</p> <p>4 know that algorithm. Just reduce it with</p> <p>5 respect to the modulus.</p> <p>6 It doesn't compute part of them</p> <p>7 and then, oh, compare them. We don't do it</p> <p>8 that way. We just have a reduction involved,</p> <p>9 reduction of Type 1, reduction of Type 2. One</p> <p>10 is specific to a finite field reduction,</p> <p>11 modular n. The other one is with respect to</p> <p>12 the wordsize reduction, which is six digits or</p> <p>13 four words, two words here.</p> <p>14 Q. So in the -- in the section of</p> <p>15 Column 8 that we were discussing in the</p> <p>16 '062 Patent, it says [as read]:</p> <p>17 "The reduction should</p> <p>18 lower the length of the result</p> <p>19 to the appropriate word length</p> <p>20 of the underlying field."</p> <p>21 Right?</p> <p>22 A. Yes, it did. In fact, it's still</p> <p>23 open in front of me, you know, that --</p> <p>24 Q. Yeah.</p> <p>25 A. -- Column 8, yeah.</p> |

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| <p style="text-align: right;">82</p> <p>1 Q. Yeah.</p> <p>2 So if your goal is to get it</p> <p>3 down in your example to two words, six digits</p> <p>4 or two words, that can be achieved by just</p> <p>5 doing the first example, right?</p> <p>6 A. Finite field reduction will also end</p> <p>7 up a number that is less than six digits. And</p> <p>8 wordsize reduction, when it reaches six digits,</p> <p>9 it stops.</p> <p>10 Q. So why not just take 3,007 and put</p> <p>11 two zeros in front of it? Then you have six</p> <p>12 digits and two words.</p> <p>13 A. 3,007, two zeros in the left or</p> <p>14 right?</p> <p>15 Q. On the left. 003,007.</p> <p>16 A. Where did you get the number?</p> <p>17 Q. Well, let's look at -- let's go back</p> <p>18 to the '062 Patent, Column 8.</p> <p>19 A. Okay.</p> <p>20 Q. I'm sorry here. Hold on one sec.</p> <p>21 Yeah. Column 8, line 30 to 35.</p> <p>22 A. Okay. I'm here.</p> <p>23 Q. So the second sentence -- well,</p> <p>24 actually, the whole thing here. Let's start</p> <p>25 with the first one.</p> | <p style="text-align: right;">84</p> <p>1 paragraph 74.</p> <p>2 THE VIDEOGRAPHER: Stand by.</p> <p>3 THE WITNESS: Here we have two</p> <p>4 inputs to the reduction algorithm. Two</p> <p>5 inputs: 10 million and 10,007.</p> <p>6 We don't know what the output</p> <p>7 is. Output is being computed by the</p> <p>8 reduction algorithm.</p> <p>9 The reduction algorithm computes</p> <p>10 the output, 3,007. It could have been</p> <p>11 some other number. You're welcome. So</p> <p>12 3,007 is that.</p> <p>13 In fact, when it is computed, it</p> <p>14 already had two zeros in front of it</p> <p>15 because it's in a register of six digits.</p> <p>16 Already had that two zeros.</p> <p>17 You cannot -- 3,007 is not given</p> <p>18 to you. You compute that.</p> <p>19 I hope I am clear.</p> <p>20 BY ATTORNEY EKLEM:</p> <p>21 Q. I think so.</p> <p>22 So if the zeros are already</p> <p>23 there with 3,007, then it doesn't -- so then</p> <p>24 doing example one has the effect of the</p> <p>25 wordsize reduction of ensuring that it fits</p> |
| <p style="text-align: right;">83</p> <p>1 [As read]:</p> <p>2 "Finite field elements</p> <p>3 are stored by the finite field</p> <p>4 engine and memory segments</p> <p>5 larger than are actually</p> <p>6 required. The most</p> <p>7 significant bits are set to 0.</p> <p>8 Operations can be performed on</p> <p>9 these elements by acting on</p> <p>10 the memory segment as a whole,</p> <p>11 while ignoring the extra</p> <p>12 digits. This representation</p> <p>13 is referred to as 'word-sized'</p> <p>14 representation."</p> <p>15 So why couldn't you just do</p> <p>16 that, take 3,007 and add zeros to the</p> <p>17 significant side to make it match what you're</p> <p>18 trying to do?</p> <p>19 A. Can we go back to my declaration, to</p> <p>20 that 74 paragraph?</p> <p>21 Q. Yes.</p> <p>22 THE VIDEOGRAPHER: I apologize.</p> <p>23 I didn't hear that. You said</p> <p>24 "declaration"?</p> <p>25 THE WITNESS: Yes. Declaration,</p> | <p style="text-align: right;">85</p> <p>1 within the required number of words, right?</p> <p>2 A. N is already less than the number of</p> <p>3 words provided. When it's less than n, it</p> <p>4 would be less than the number of words n</p> <p>5 resides in.</p> <p>6 ATTORNEY EKLEM: I think we've</p> <p>7 been on for a little more than an hour,</p> <p>8 Dr. Koc.</p> <p>9 Would you like to take five</p> <p>10 minutes?</p> <p>11 THE WITNESS: Sure.</p> <p>12 THE VIDEOGRAPHER: Okay. We are</p> <p>13 now going off the video record. The time</p> <p>14 is 10:31 a.m.</p> <p>15 - - -</p> <p>16 (Whereupon, a short recess was</p> <p>17 taken.)</p> <p>18 - - -</p> <p>19 THE VIDEOGRAPHER: We're now</p> <p>20 going back on the video record. The time</p> <p>21 is 10:40 a.m.</p> <p>22 ATTORNEY EKLEM: Thank you.</p> <p>23 BY ATTORNEY EKLEM:</p> <p>24 Q. Dr. Koc, during the break, did you</p> <p>25 discuss the substance of your testimony with</p> |

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| <p style="text-align: right;">86</p> <p>1 counsel?</p> <p>2 A. No.</p> <p>3 Q. Okay. So let's go to paragraph 80 of</p> <p>4 your declaration, please, on page 33.</p> <p>5 A. Yeah. I have it.</p> <p>6 Q. So in paragraph 80, just to be clear,</p> <p>7 you're citing some portions of the '370 Patent,</p> <p>8 so we'll be talking about a different patent</p> <p>9 here.</p> <p>10 In paragraph 80, you say</p> <p>11 [as read]:</p> <p>12 "The specification states</p> <p>13 that 'omitting the public key</p> <p>14 from the certificate can save</p> <p>15 on bandwidth and storage and</p> <p>16 the verification process</p> <p>17 described above yields reduced</p> <p>18 verification times.'"</p> <p>19 Do you see that?</p> <p>20 A. Yes.</p> <p>21 Q. Do you have an understanding of what</p> <p>22 bandwidth is?</p> <p>23 A. Of course.</p> <p>24 Q. Could you explain?</p> <p>25 A. At the speed at which data can be</p> | <p style="text-align: right;">88</p> <p>1 Document 8. Let's go ahead and enter</p> <p>2 that.</p> <p>3 - - -</p> <p>4 (Whereupon, Exhibit 8 was marked</p> <p>5 for identification.)</p> <p>6 - - -</p> <p>7 BY ATTORNEY EKLEM:</p> <p>8 Q. And, Dr. Koc, that's Exhibit F.</p> <p>9 A. Yes.</p> <p>10 Q. F, as in foxtrot, to your</p> <p>11 declaration.</p> <p>12 A. Are you going to put it on the screen</p> <p>13 or not?</p> <p>14 ATTORNEY EKLEM: Did you catch</p> <p>15 that?</p> <p>16 THE VIDEOGRAPHER: Stand by.</p> <p>17 It's laggy. It should come up in a</p> <p>18 minute.</p> <p>19 And we're going to mark</p> <p>20 Document 8 as Exhibit 8.</p> <p>21 ATTORNEY EKLEM: And then if you</p> <p>22 want to take us to Column 9, Joe, lines 9</p> <p>23 through 14. Yep. That paragraph.</p> <p>24 THE WITNESS: Yeah.</p> <p>25</p> |
| <p style="text-align: right;">87</p> <p>1 delivered.</p> <p>2 Q. Okay. And, I mean, is it common to</p> <p>3 measure bandwidth in units of bits per second</p> <p>4 or megabits per second or gigabits per second,</p> <p>5 et cetera?</p> <p>6 A. Yes.</p> <p>7 Q. Okay. So the more data that needs to</p> <p>8 be transmitted, the more time it takes to</p> <p>9 transmit it, right?</p> <p>10 A. True.</p> <p>11 Q. So you could -- you could analyze the</p> <p>12 amount of time it takes to transmit data or,</p> <p>13 rather, the time it takes -- hold on. Let me</p> <p>14 start over.</p> <p>15 Actually, strike that. That's</p> <p>16 okay.</p> <p>17 Shifting over to paragraph 81.</p> <p>18 A. Okay.</p> <p>19 Q. In this paragraph, you cite a few --</p> <p>20 three different portions of the '370 Patent.</p> <p>21 Do you see that?</p> <p>22 A. Yes.</p> <p>23 Q. Okay. One of them is Column 9,</p> <p>24 lines 9 through 15.</p> <p>25 ATTORNEY EKLEM: So, Joe, that's</p> | <p style="text-align: right;">89</p> <p>1 BY ATTORNEY EKLEM:</p> <p>2 Q. So, Dr. Koc, do you see, in the last</p> <p>3 sentence there, it says --</p> <p>4 A. Yeah, I do.</p> <p>5 Q. -- [as read]:</p> <p>6 "In other words,</p> <p>7 33 percent more signatures can</p> <p>8 be verified in a given amount</p> <p>9 of time using the embodiment</p> <p>10 described above"?</p> <p>11 Do you see that?</p> <p>12 A. Yes.</p> <p>13 Q. Okay. So what it's considering here</p> <p>14 is a number of signatures verified per amount</p> <p>15 of time, correct?</p> <p>16 A. Yes.</p> <p>17 Q. All right. Let's go to paragraph 83</p> <p>18 of your declaration, please.</p> <p>19 A. 83?</p> <p>20 Q. Yes.</p> <p>21 A. Okay.</p> <p>22 Q. And so this -- we're shifting now.</p> <p>23 This is in the context of the '961 Patent,</p> <p>24 "Random Number Generators" section of your</p> <p>25 report.</p> |

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| <p style="text-align: right;">90</p> <p>1 A. Yes.</p> <p>2 Q. So different patent. I just want to</p> <p>3 level set.</p> <p>4 So in paragraph 83 --</p> <p>5 A. Yeah.</p> <p>6 Q. -- you distinguish between random</p> <p>7 number generator, RNG, and pseudorandom</p> <p>8 generator, PRNG, correct?</p> <p>9 A. Correct.</p> <p>10 Q. Okay. And in paragraph 84, the next</p> <p>11 paragraph, you say [as read]:</p> <p>12 "To achieve true</p> <p>13 randomness, RNGs rely on</p> <p>14 'naturally occurring' source</p> <p>15 of randomness, not</p> <p>16 deterministic functions or</p> <p>17 algorithms."</p> <p>18 And the next sentence says</p> <p>19 [as read]:</p> <p>20 "'Physically' or</p> <p>21 'naturally occurring' sources</p> <p>22 of randomness may include any</p> <p>23 form of physical entropy, such</p> <p>24 as thermal noise, atmospheric</p> <p>25 noise, radioactive decay,</p> | <p style="text-align: right;">92</p> <p>1 cryptography is very specific. And that</p> <p>2 specifically comes from physically or naturally</p> <p>3 occurring source of randomness that has higher</p> <p>4 entropy included.</p> <p>5 So PRNGs, without any physical</p> <p>6 randomness injected, would not be suitable for</p> <p>7 cryptography.</p> <p>8 RNGs, as long as the definition</p> <p>9 is very clear, if you're in the context of the</p> <p>10 cryptography, RNGs must include physical</p> <p>11 entropy and, therefore, useful because we</p> <p>12 believe that -- as field people, cryptographic</p> <p>13 engineers like myself, we believe that random</p> <p>14 numbers should have two properties for</p> <p>15 cryptography.</p> <p>16 One is they have to be uniformly</p> <p>17 distributed over the values of the numbers;</p> <p>18 two, they have to be unpredictable. PRNGs do</p> <p>19 not produce unpredictable sequences of numbers.</p> <p>20 They're mathematically related</p> <p>21 to one another. So, therefore, PRNGs are not</p> <p>22 suitable.</p> <p>23 So now what we do, we don't say</p> <p>24 "RNG" anymore. We just say "TRNG," true random</p> <p>25 number generators. But over the past</p> |
| <p style="text-align: right;">91</p> <p>1 recorded audio or video feeds,</p> <p>2 or even mouse movements on a</p> <p>3 computer over time."</p> <p>4 Do you see that?</p> <p>5 A. Yes.</p> <p>6 Q. Okay. So the RNGs that you're</p> <p>7 referring to in your report, as distinct from</p> <p>8 PRNGs, the RNGs achieve true randomness using</p> <p>9 physical or naturally occurring sources of</p> <p>10 randomness, right?</p> <p>11 A. I should probably give you an</p> <p>12 overview of the subject, then it becomes better</p> <p>13 understood.</p> <p>14 The subject of random numbers,</p> <p>15 random number generator has been in flux since</p> <p>16 1990s.</p> <p>17 And by around 2000 or so, it has</p> <p>18 been well established because cryptography has</p> <p>19 matured. And we understood what kind of</p> <p>20 randomness we need in cryptography versus in</p> <p>21 other fields where randomness could -- are</p> <p>22 used. For example, printing lottery tickets or</p> <p>23 performing physical simulations, et cetera.</p> <p>24 RNG is really a general name.</p> <p>25 It includes everything. But RNG for</p> | <p style="text-align: right;">93</p> <p>1 references of books, papers, still use "RNG."</p> <p>2 And there we should always say, "What is the</p> <p>3 context?" If its context is crypto, then it is</p> <p>4 TRNG.</p> <p>5 That's my teaching.</p> <p>6 Q. Okay. So in your declaration, when</p> <p>7 you say "RNG," you're referring specifically to</p> <p>8 the true random number generators, right?</p> <p>9 A. Especially -- yes, I do, especially</p> <p>10 if the context is cryptography.</p> <p>11 Q. Okay. And it's your opinion that --</p> <p>12 okay.</p> <p>13 So with that understanding, it's</p> <p>14 your opinion that -- so -- so what you're</p> <p>15 communicating here in paragraphs 83 and 84 is</p> <p>16 that a PRNG is not an RNG, meaning a PRNG is</p> <p>17 not a true random number generator, right?</p> <p>18 A. The second part of the sentence is</p> <p>19 correct. That is, PRNG is not a true random</p> <p>20 number generator. But if you use the word</p> <p>21 "RNG" for the whole family, PRNG is under the</p> <p>22 same tree but on the right-hand side, on the</p> <p>23 left-hand side, whatever, where TRNG isn't.</p> <p>24 Q. Have you ever heard of a</p> <p>25 deterministic random number generator, or DRNG?</p> |

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| <p style="text-align: right;">94</p> <p>1 A. We use the word "DRNG" as a synonym 2 to PRNG. 3 Q. Okay. And then let's go to 4 paragraph 87 really quick. 87, page 37. 5 A. Yes. I got it. 6 Q. The last sentence here of 7 paragraph 87, you say that [as read]: 8 "Depending on the 9 specific security requirements 10 of a given application, this 11 hybrid model, in which a PRNG 12 generates random numbers using 13 a high-entropy seed value, may 14 be referred to as an 'RNG' or 15 'cryptographically secure 16 PRNG,' because the seed is 17 chosen using a 18 nondeterministic method." 19 Do you see that? 20 A. Yes. 21 Q. So earlier you said that under the 22 tree of RNGs, one branch is PRNGs, correct? 23 A. PRNG and DRNG together, yes. 24 Q. And so a "cryptographically secure 25 PRNG" would be under the -- under that category</p> | <p style="text-align: right;">96</p> <p>1 Q. Okay. And this is one of the books 2 that was -- that is listed in your CV, right? 3 A. Yes. 4 Q. Okay. Let's go to the 23rd page of 5 the PDF. 6 I think we have a number problem 7 here. Go down one more page, please. 8 A. That's correct. 9 Q. So this is page -- numbered page 5 of 10 the book. 11 A. Yeah. 12 Q. So the first paragraph under the 13 heading "2.1 Introduction." 14 A. Okay. 15 Q. And this is in Chapter 2, the title 16 of Chapter 2 on this page. It says [as read]: 17 "Random Number Generators 18 for Cryptographic 19 Applications." 20 Do you see that? 21 A. Yes. 22 Q. Okay. And so the first paragraph 23 under Section 2.1 says [as read]: 24 "A large number of 25 cryptographic applications</p> |
| <p style="text-align: right;">95</p> <p>1 of -- maybe a subcategory of PRNG/DRNG, 2 correct? 3 A. Yeah. That's why you call them 4 hybrids, yes, because you borrow from physical 5 source of randomness to enhance the randomness 6 of the PRNGs. 7 Q. Okay. 8 ATTORNEY EKLEM: Okay. Joe, 9 let's put in Document 13, please, as the 10 next exhibit. 11 - - - 12 (Whereupon, Exhibit 9 was marked 13 for identification.) 14 - - - 15 THE VIDEOGRAPHER: Document 13 16 will be Exhibit 9. 17 BY ATTORNEY EKLEM: 18 Q. Do you recognize what's being shown 19 here as Exhibit 9, Dr. Koc? 20 A. Yes, I do. 21 Q. Is this one of your textbooks? 22 A. It is. 23 Q. And the title is "Cryptographic 24 Engineering," right? 25 A. Right.</p> | <p style="text-align: right;">97</p> <p>1 require random numbers, e.g., 2 as session keys, signature 3 parameters, ephemeral keys 4 (DSA, ECDSA), challenges or in 5 zero-knowledge protocols. For 6 this reason, random number 7 generators (RNGs) are part of 8 many IT-security products." 9 Do you see that? 10 A. Yes. 11 Q. Okay. So in this paragraph, does 12 random number generator, RNGs, refer only to 13 true random number generators? 14 A. Again, the general name, like I said, 15 RNG sometimes used to mean everything. And for 16 cryptography, it has to be true, or a 17 deterministic random number generator using 18 physical entropy can also pass, depending on 19 the application. 20 Q. Okay. In the second paragraph of the 21 page, the second-to-last sentence says 22 [as read]: 23 "Ideally, random numbers 24 should be." 25 Do you see that?</p> |

25 (Pages 94 to 97)

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| <p style="text-align: right;">98</p> <p>1 A. Yes.</p> <p>2 Q. Okay. Let me read a section of this</p> <p>3 so I can ask you a question. It says</p> <p>4 [as read]:</p> <p>5 "Ideally, random number</p> <p>6 generators should be uniformly</p> <p>7 distributed on their range and</p> <p>8 independent. However, this</p> <p>9 characterizes an ideal RNG,</p> <p>10 which is a mathematical</p> <p>11 construction.</p> <p>12 "In Section 2.2 we</p> <p>13 formulate the general</p> <p>14 requirements RNGs should have,</p> <p>15 and in Section 2.3 we divide</p> <p>16 the entity of 'real-world'</p> <p>17 RNGs into several classes."</p> <p>18 Do you see that?</p> <p>19 A. Yes.</p> <p>20 Q. Okay. So this portion of your</p> <p>21 textbook is distinguishing between an ideal RNG</p> <p>22 and a real-world RNG, correct?</p> <p>23 A. Yeah, it does.</p> <p>24 Q. Okay. And so it also describes here</p> <p>25 two main classes of real-world RNGs called</p> | <p style="text-align: right;">100</p> <p>1 RNGs fall into two main</p> <p>2 classes. The first class</p> <p>3 consists of the deterministic</p> <p>4 RNGs (DRNGs, aka pseudorandom</p> <p>5 number generators). Starting</p> <p>6 with a seed, DRNGs generate</p> <p>7 pseudorandom numbers</p> <p>8 algorithmically. The true</p> <p>9 RNGs (TRNGs) form the second</p> <p>10 class, which falls into two</p> <p>11 subclasses: physical TRNGs</p> <p>12 (PTRNGs) and nonphysical TRNGs</p> <p>13 (NPTRNGs)."</p> <p>14 Do you see that?</p> <p>15 A. Yes.</p> <p>16 Q. Okay. So I think you've said this --</p> <p>17 or alluded to this before: Deterministic RNGs</p> <p>18 is another name for pseudorandom number</p> <p>19 generators, right?</p> <p>20 A. True.</p> <p>21 Q. Okay. And whichever way you refer to</p> <p>22 it, DRNGs or PRNGs, they are a type of RNG,</p> <p>23 correct?</p> <p>24 A. They are.</p> <p>25 Q. And true RNGs are another type of</p> |
| <p style="text-align: right;">99</p> <p>1 deterministic RNGs and true RNGs, correct?</p> <p>2 A. Yes.</p> <p>3 Q. So there's at least two kind of RNGs,</p> <p>4 namely, deterministic RNGs and true RNGs,</p> <p>5 right?</p> <p>6 A. If you look at this section very</p> <p>7 carefully, an application domain has not been</p> <p>8 specified. For a general-purpose usage of</p> <p>9 RNGs, yes, you can definitely say that.</p> <p>10 ATTORNEY EKLEM: Okay. Let's go</p> <p>11 down two more pages to numbered page 7.</p> <p>12 Yeah. There we go.</p> <p>13 BY ATTORNEY EKLEM:</p> <p>14 Q. So on page 7, you see -- so we're</p> <p>15 still in -- at the top, we have reminders here.</p> <p>16 We're in Chapter 2, "Random Number Generators</p> <p>17 for Cryptographic Applications." And now we're</p> <p>18 in "Section 2.3 Classification.</p> <p>19 Do you see that?</p> <p>20 A. Yes.</p> <p>21 Q. Okay. The paragraph under</p> <p>22 Section 2.3 says [as read]:</p> <p>23 "Following [1] (which</p> <p>24 narrows the focus to random</p> <p>25 bit generators) 'real-world'</p> | <p style="text-align: right;">101</p> <p>1 RNG, correct?</p> <p>2 A. Also true.</p> <p>3 Q. Okay. So then just -- you can</p> <p>4 already see it there on the screen here. Below</p> <p>5 that paragraph is labeled "Figure 2.1 RNG</p> <p>6 Classification," showing a tree structure.</p> <p>7 Do you see that?</p> <p>8 A. Yeah.</p> <p>9 Q. So at the top of the tree is RNG,</p> <p>10 right?</p> <p>11 A. Yeah.</p> <p>12 Q. So one type of RNG is deterministic,</p> <p>13 and another type is true or nondeterministic,</p> <p>14 right?</p> <p>15 A. Right.</p> <p>16 Q. Okay. And this particular Figure 2.1</p> <p>17 says "deterministic" under RNG.</p> <p>18 But that's just another way of</p> <p>19 saying "pseudorandom number generator," right?</p> <p>20 A. Well, you repeat it, yes.</p> <p>21 Q. Okay. So let's go down to the next</p> <p>22 page, please, at the top. It's Figure 2.2.</p> <p>23 The title of it is [as read]:</p> <p>24 "Pure DRNG: Generic</p> <p>25 design."</p> |

26 (Pages 98 to 101)

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| <p style="text-align: right;">102</p> <p>1 Do you see that?</p> <p>2 A. Yeah.</p> <p>3 Q. And it shows the output -- it</p> <p>4 describes the output of the generic pure DRNG</p> <p>5 design as a random number.</p> <p>6 Do you see that?</p> <p>7 A. Yeah.</p> <p>8 Q. So at least this textbook considers</p> <p>9 the output of a DRNG, refers to it as a random</p> <p>10 number, right?</p> <p>11 A. You have to qualify that. You have</p> <p>12 to go and read the bottom text. You will see</p> <p>13 that, first of all, as I said, random number is</p> <p>14 application domain-dependent. If your subject</p> <p>15 is cryptography, then you want to either use</p> <p>16 true random number generator or come close to</p> <p>17 true random number generator as much as</p> <p>18 possible in order to remain secure.</p> <p>19 That's in the previous page. It</p> <p>20 says [as read]:</p> <p>21 "Depending on the</p> <p>22 'security anchor.'"</p> <p>23 So if you look at this, if you</p> <p>24 read the bottom, which is the part before the</p> <p>25 second equation, you'll see that this can --</p> | <p style="text-align: right;">104</p> <p>1 A. Yes.</p> <p>2 Q. It says [as read]:</p> <p>3 "A class of DRNGs which</p> <p>4 is very interesting from a</p> <p>5 theoretical point of view are</p> <p>6 cryptographically secure</p> <p>7 RNGs."</p> <p>8 Do you see that?</p> <p>9 A. Yes.</p> <p>10 Q. So cryptographically secure RNGs is a</p> <p>11 type of RNG, right?</p> <p>12 A. Yes.</p> <p>13 Q. And in this case, it's a class of</p> <p>14 DRNG, which is a pseudorandom number generator,</p> <p>15 right?</p> <p>16 A. A class, however, very special</p> <p>17 because they depend on well-known functions of</p> <p>18 computability problems.</p> <p>19 ATTORNEY EKLEM: Okay. Let's go</p> <p>20 back four pages -- I'm sorry -- five</p> <p>21 pages, PDF 24. Yeah, starts with -- nope.</p> <p>22 Nope. Down one.</p> <p>23 Thank you.</p> <p>24 BY ATTORNEY EKLEM:</p> <p>25 Q. So the second paragraph under</p> |
| <p style="text-align: right;">103</p> <p>1 can this be used for cryptographic purposes?</p> <p>2 Only if, only if the seed is selected from a</p> <p>3 true random number generator and that's not</p> <p>4 sufficient, that functions that you see there,</p> <p>5 psi and phi, has to satisfy certain</p> <p>6 mathematical properties in order for that to be</p> <p>7 unpredictable.</p> <p>8 Random numbers for other -- for</p> <p>9 output applications than cryptography do not</p> <p>10 need to be unpredictable. They need to be as</p> <p>11 close to ideal -- ideality, that is, uniform</p> <p>12 distribution, as possible.</p> <p>13 But for cryptography, not only</p> <p>14 do they have to be uniformly distributed, but</p> <p>15 they also have to be unpredictable.</p> <p>16 To inject unpredictability into</p> <p>17 this picture, you must select a seed from a</p> <p>18 physical entropy source and plus you have to</p> <p>19 select those two functions, phi and psi, very</p> <p>20 carefully.</p> <p>21 Q. So three pages down, on page 11 of</p> <p>22 the document, yeah, the first full paragraph</p> <p>23 there that starts with [as read]:</p> <p>24 "A class of DRNGs."</p> <p>25 Do you see that?</p> | <p style="text-align: right;">105</p> <p>1 Section 2.2 here says [as read]:</p> <p>2 "A closer look at typical</p> <p>3 applications allows a positive</p> <p>4 formulation of necessary</p> <p>5 requirements. Absolutely</p> <p>6 inevitable is</p> <p>7 "(R1) The random numbers</p> <p>8 should have good statistical</p> <p>9 properties."</p> <p>10 Do you see that?</p> <p>11 A. Yes.</p> <p>12 Q. So this requirement, R1, is presented</p> <p>13 as a requirement for RNGs, correct?</p> <p>14 A. General-purpose RNGs.</p> <p>15 Q. But it has to be true for TRNGs as</p> <p>16 well, right?</p> <p>17 A. TRNGs do also satisfy R1 and more.</p> <p>18 Q. Okay. So PRNGs or DRNGs, whichever,</p> <p>19 and TRNGs have to satisfy R1, correct?</p> <p>20 A. Yes. They both do.</p> <p>21 Q. Later down on the page is R2.</p> <p>22 Do you see that near the bottom?</p> <p>23 A. Yes, yes.</p> <p>24 Q. R2 says [as read]:</p> <p>25 "The knowledge of</p> |

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| <p style="text-align: right;">106</p> <p>1 subsequences of random numbers</p> <p>2 shall not allow one to</p> <p>3 practically compute</p> <p>4 predecessors or successors or</p> <p>5 to guess the numbers with</p> <p>6 nonnegligibly larger</p> <p>7 probability than without</p> <p>8 knowledge of these</p> <p>9 subsequences."</p> <p>10 Do you see that?</p> <p>11 A. Yes.</p> <p>12 Q. This is a requirement for DRNGs,</p> <p>13 TRNGs, and PRNGs, correct?</p> <p>14 A. No. This is a requirement for TRNGs.</p> <p>15 DRNGs or PRNGs do not have this property, not a</p> <p>16 requirement for them either.</p> <p>17 Q. So R2 is not a requirement for PRNGs</p> <p>18 or DRNGs?</p> <p>19 A. That's an incorrect way of stating</p> <p>20 it. PRNGs and DRNGs do not satisfy art. They</p> <p>21 only satisfy R1.</p> <p>22 TRNGs, on the other hand,</p> <p>23 satisfy both R1 and R2.</p> <p>24 Q. So you're saying that a DRNG or a</p> <p>25 PRNG does not satisfy R2?</p> | <p style="text-align: right;">108</p> <p>1 sentence. This discussion says that DRNGs must</p> <p>2 satisfy R1 and stop there. And TRNGs must</p> <p>3 satisfy both, R1 and R2.</p> <p>4 Q. So the sentence below R2 says</p> <p>5 [as read]:</p> <p>6 "In Section 2.4 we will</p> <p>7 introduce two further</p> <p>8 requirements that are</p> <p>9 characteristic for DRNGs."</p> <p>10 Do you see that?</p> <p>11 A. Yeah.</p> <p>12 Q. So why is it that -- why is it that</p> <p>13 DRNGs don't satisfy R2 if the further</p> <p>14 requirements are explained down below?</p> <p>15 A. Let's go to 2.4.</p> <p>16 Q. Well, my question is about this</p> <p>17 section, though, because what it's saying is</p> <p>18 that "further requirements for DRNGs."</p> <p>19 A. And just because that sentence is</p> <p>20 below R2, that you think that it has to be R2.</p> <p>21 No, it doesn't. So to clarify that, you should</p> <p>22 go to Section 2.4 to discover what those</p> <p>23 requirements are.</p> <p>24 Q. So you're saying that with a PRNG or</p> <p>25 DRNG they do allow someone to practically</p> |
| <p style="text-align: right;">107</p> <p>1 A. They don't have to. And, generally,</p> <p>2 they don't. Yes.</p> <p>3 Q. Well, so this section of the book,</p> <p>4 though, is presenting R1 and R2 as requirements</p> <p>5 of RNGs generally, right?</p> <p>6 A. Like I said, but then it goes into</p> <p>7 the specifics and says that RNGs for the</p> <p>8 purposes of general computations, PRNG and TRNG</p> <p>9 are sufficient to where R1 is satisfied.</p> <p>10 But RNGs for the purpose of</p> <p>11 cryptography must require R2 -- must satisfy</p> <p>12 R2. And then they can be used for that</p> <p>13 purpose.</p> <p>14 If you want to take a DRNG and</p> <p>15 use it in cryptography, then you must make sure</p> <p>16 that you provide some physical entropy to it.</p> <p>17 Then it comes -- not exactly becomes TRNG but</p> <p>18 it comes near to it.</p> <p>19 That's an expert opinion, not</p> <p>20 just mine, including this fellow Werner</p> <p>21 Schindler, who works for German NSA.</p> <p>22 Q. So does this -- does this discussion</p> <p>23 say that DRNGs and PRNGs do not satisfy R2?</p> <p>24 I don't see where it says that.</p> <p>25 A. Again, you are convoluting the</p> | <p style="text-align: right;">109</p> <p>1 compute predecessors and successors?</p> <p>2 A. They are mathematically possible.</p> <p>3 And many -- there are many examples of</p> <p>4 cryptographic systems broken because those</p> <p>5 computations were possible.</p> <p>6 Q. So "practically compute" means</p> <p>7 "possible to compute"?</p> <p>8 A. More than that.</p> <p>9 ATTORNEY DESAI: Objection to</p> <p>10 form.</p> <p>11 THE WITNESS: More than that.</p> <p>12 Practically computes that with the</p> <p>13 existing computing arsenal we had in a</p> <p>14 very reasonable amount of timing, hours or</p> <p>15 maybe no more than days, it can be</p> <p>16 computed.</p> <p>17 BY ATTORNEY EKLEM:</p> <p>18 Q. So could you -- I mean, so then what</p> <p>19 does it mean to allow one to practically</p> <p>20 compute predecessors or successors? Can you</p> <p>21 explain what that means?</p> <p>22 A. It means with a small amount of</p> <p>23 resources, computing and money and small amount</p> <p>24 of time, you can do it.</p> <p>25 Q. What's a small amount of time?</p> |

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| <p style="text-align: right;">110</p> <p>1 A. Minutes sometimes. But not years.</p> <p>2 Q. And you're saying whether something</p> <p>3 is practical to compute depends on the time</p> <p>4 frame because technology changes?</p> <p>5 A. The current time frame, yes.</p> <p>6 Practical could be different 100 years from</p> <p>7 today where we have, I don't know, quantum</p> <p>8 computers. You know, we're talking about this</p> <p>9 decade, more or less, or last decade at most.</p> <p>10 Q. Okay. So let's go back to your</p> <p>11 declaration, please, and go to paragraph 86.</p> <p>12 A. Okay. Thank you.</p> <p>13 Q. Okay. You're there, Dr. Koc?</p> <p>14 A. Yeah. Yes, I am.</p> <p>15 Q. Thank you.</p> <p>16 A. Yeah.</p> <p>17 Q. And in the middle of the paragraph</p> <p>18 starts a sentence [as read]:</p> <p>19 "The earliest version of</p> <p>20 DSS, which published in 1994,</p> <p>21 distinguishes between randomly</p> <p>22 generated and pseudorandomly</p> <p>23 generated integers (i.e.,</p> <p>24 numbers)."</p> <p>25 And then you have a citation</p> | <p style="text-align: right;">112</p> <p>1 zero random. We can use both.</p> <p>2 But that's from 1994 until</p> <p>3 today, at 30 years as an expert. Not just</p> <p>4 myself, also Werner Schindler would tell you,</p> <p>5 for cryptography, you must select it randomly,</p> <p>6 truly randomly, period.</p> <p>7 ATTORNEY EKLEM: Why don't we</p> <p>8 take a ten-minute break. I need to go</p> <p>9 through my notes here. I think we might</p> <p>10 be finished.</p> <p>11 THE VIDEOGRAPHER: Now going off</p> <p>12 the video record. The time is 11:27 a.m.</p> <p>13 - - -</p> <p>14 (Whereupon, a short recess was</p> <p>15 taken.)</p> <p>16 - - -</p> <p>17 THE VIDEOGRAPHER: We are now</p> <p>18 going back on the video record. The time</p> <p>19 is 11:38 a.m.</p> <p>20 ATTORNEY DESAI: Okay. I have a</p> <p>21 few -- sorry. Are you done?</p> <p>22 ATTORNEY EKLEM: Almost.</p> <p>23 ATTORNEY DESAI: Sorry. Sorry.</p> <p>24 Go ahead.</p> <p>25 ATTORNEY EKLEM: Yes.</p> |
| <p style="text-align: right;">111</p> <p>1 there to Exhibit P to your declaration.</p> <p>2 Do you see that?</p> <p>3 A. Yeah.</p> <p>4 Q. Okay. But you have in here</p> <p>5 quotations from that document --</p> <p>6 A. Yeah.</p> <p>7 Q. -- where it says [as read]:</p> <p>8 "x equals a randomly or</p> <p>9 pseudorandomly generated</p> <p>10 integer. k equals a randomly</p> <p>11 or pseudorandomly generated</p> <p>12 integer."</p> <p>13 Do you see that?</p> <p>14 A. Yes.</p> <p>15 Q. So for purposes of DSA, random or</p> <p>16 pseudorandom is acceptable, right?</p> <p>17 A. This is not standard. As you said,</p> <p>18 it was published in 1994, and a lot of things</p> <p>19 have changed since then.</p> <p>20 Standards do not necessarily</p> <p>21 really tell you what key lengths to select and</p> <p>22 how much secure you will be, but it just makes</p> <p>23 the computational steps very clear.</p> <p>24 As you can see, it says "random</p> <p>25 or pseudorandom," which means truly random or</p> | <p style="text-align: right;">113</p> <p>1 BY ATTORNEY EKLEM:</p> <p>2 Q. Dr. Koc, did you have any</p> <p>3 conversations with counsel during the break</p> <p>4 about the substance of your testimony?</p> <p>5 A. No.</p> <p>6 ATTORNEY EKLEM: Okay. I have</p> <p>7 no further questions at this time.</p> <p>8 ATTORNEY DESAI: Okay.</p> <p>9 - - -</p> <p>10 E X A M I N A T I O N</p> <p>11 - - -</p> <p>12 BY ATTORNEY DESAI:</p> <p>13 Q. Dr. Koc, do you have your</p> <p>14 declaration?</p> <p>15 A. Yes.</p> <p>16 Q. Okay. Could you turn to</p> <p>17 paragraph 57.</p> <p>18 A. Yes.</p> <p>19 Q. So we're at page 23 for the screen --</p> <p>20 A. Yeah.</p> <p>21 Q. -- paragraph 57.</p> <p>22 Okay. And in this paragraph,</p> <p>23 you state that [as read]:</p> <p>24 "Both the standard</p> <p>25 Montgomery method and the</p> |

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| <p style="text-align: right;">114</p> <p>1 '286 Patent method involve</p> <p>2 clearing the least significant</p> <p>3 portions of an unreduced</p> <p>4 operand."</p> <p>5 Do you see that?</p> <p>6 A. Yes.</p> <p>7 Q. Okay. Now, Mr. Eklem asked you what</p> <p>8 "clearing" means, and I believe you said</p> <p>9 "cancellation."</p> <p>10 Do you recall that testimony?</p> <p>11 A. Yes.</p> <p>12 Q. Okay. Is it your testimony that both</p> <p>13 the standard Montgomery method and the</p> <p>14 '286 Patent method used cancelation?</p> <p>15 A. Yes.</p> <p>16 ATTORNEY EKLEM: Objection.</p> <p>17 Leading.</p> <p>18 ATTORNEY DESAI: Okay. I'm</p> <p>19 sorry.</p> <p>20 BY ATTORNEY DESAI:</p> <p>21 Q. So how are the least significant</p> <p>22 portions cleared in standard Montgomery</p> <p>23 reduction?</p> <p>24 A. By adding m times n clears off the</p> <p>25 least significant word.</p> | <p style="text-align: right;">116</p> <p>1 operand such that the result</p> <p>2 can be shifted down to drop</p> <p>3 the least significant word."</p> <p>4 Do you see that?</p> <p>5 A. Yes.</p> <p>6 Q. If you have an operand and you add a</p> <p>7 modular equivalent of the operand's least</p> <p>8 significant word, does that addition</p> <p>9 necessarily result in zeroing the least</p> <p>10 significant word of the operand?</p> <p>11 A. No. It would depend. It has to be</p> <p>12 selected. Those multiplication --</p> <p>13 multiplicative factor has to be correctly</p> <p>14 selected.</p> <p>15 Q. Okay. Can we go to -- back to</p> <p>16 page 23. I want to just pull up that example</p> <p>17 you have on page 23.</p> <p>18 A. Yes.</p> <p>19 Q. Okay. Now, this is an example of the</p> <p>20 '286 Patent method; is that right?</p> <p>21 A. Yes.</p> <p>22 Q. Okay. And in Step 3, what's</p> <p>23 happening there?</p> <p>24 A. The last two digits are -- according</p> <p>25 to the '286 Patent, are made little. That's</p> |
| <p style="text-align: right;">115</p> <p>1 Q. When you say "clears off the least</p> <p>2 significant word," can you be more specific</p> <p>3 what you mean?</p> <p>4 A. Makes it zero. Makes it zero, the</p> <p>5 least significant word.</p> <p>6 Q. Okay. And how are the least</p> <p>7 significant portions cleared in the '286 Patent</p> <p>8 method?</p> <p>9 A. By adding $2a - a0n$ prime 2 to</p> <p>10 the w.</p> <p>11 Q. And if we go to -- if we go to</p> <p>12 paragraph 54, your declaration.</p> <p>13 A. Yes.</p> <p>14 Q. At the bottom of page 20, there is a</p> <p>15 reference to [as read]:</p> <p>16 "MARA's proposed</p> <p>17 construction of</p> <p>18 'replacement'?"</p> <p>19 A. Yeah.</p> <p>20 Q. Okay. And you see that the</p> <p>21 construction is [as read]:</p> <p>22 "Add a modular equivalent</p> <p>23 of the operand's least</p> <p>24 significant word to the more</p> <p>25 significant words of the</p> | <p style="text-align: right;">117</p> <p>1 what it's done, yeah.</p> <p>2 Q. Can you perform the '286 Patent</p> <p>3 method without zeroing the least significant</p> <p>4 word first?</p> <p>5 A. I have tried that. And in the</p> <p>6 example, I can leave that 95 there and go ahead</p> <p>7 and add to it $T0n$ prime 10 to the w because</p> <p>8 that number is already -- has two zeros on the</p> <p>9 right. It doesn't touch the 95. 95 remains in</p> <p>10 place. The rest of the number is affected.</p> <p>11 And so you can go ahead and shift it to right,</p> <p>12 ignore 95, still have the correct result.</p> <p>13 Q. Okay. So if we omit the zeroing</p> <p>14 Step 3, okay -- you understand?</p> <p>15 A. Yes.</p> <p>16 Q. -- and we do Step 4 now, that would</p> <p>17 mean you would do -- the addition would be</p> <p>18 39195 plus 95 times 65 times 10 squared, right?</p> <p>19 A. Right.</p> <p>20 Q. And you could do the math yourself,</p> <p>21 but would you agree that the result of that,</p> <p>22 what I just stated, would be 656695?</p> <p>23 Do you want to just confirm</p> <p>24 that?</p> <p>25 A. True. Yes. Yes.</p> |

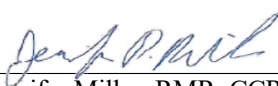
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| <p style="text-align: right;">118</p> <p>1 Q. So that would be an example of adding 2 a modular equivalent of the operand's least 3 significant word that does not result in 4 zeroing the least significant word of the 5 operand? 6 A. Indeed, correct. 7 Q. So we would have arrived at the same 8 place in Step 5 when we shift the two digits 9 right, and we did that without having added to 10 zero the least significant word, right? 11 A. True. True. 12 Q. So unlike standard Montgomery, is it 13 fair to -- is it fair to say that the '286 14 method does not require an addition that zero 15 is the least significant word? 16 A. It doesn't. 17 ATTORNEY DESAI: I don't have 18 any further questions. Thank you. 19 ATTORNEY EKLEM: Just a minute. 20 We don't have to take a -- sorry. I was 21 going to say we don't need to break. Just 22 give me one second. 23 Just a quick follow-up. 24 - - - 25 EXAMINATION</p> | <p style="text-align: right;">120</p> <p>1 Form. 2 THE WITNESS: So let me explain 3 that to you. Look at Steps 3, 4, 5. 4 In one branch of computation, 5 you zero the last two digits. You're at 6 095. Add T0 n prime 10 to the w. 7 BY ATTORNEY EKLEM: 8 Q. Uh-hum. 9 A. And so that way you get 656600. And 10 you shift it right; you have 6566. 11 And in the other branch of 12 computation, you don't zero 39195. You 13 remain -- you keep 95. 14 Now, when you keep 95, you have 15 39195. Then add it to it, 95 times 65 times 16 100, doesn't touch the lower digits. Because 17 that 100, whatever the product 65 times 95 is, 18 that 100 makes it two zero on the right. It 19 doesn't touch the 95. 95 remains in place, so 20 the number becomes 656695. 21 Now, go ahead and shift it two 22 digits to right, you get 6566s, which is the 23 same as Step 5. This particular example is 24 short. Really, 3, 4, 5 is the only three -- 25 only step as needed. The 6, 7, 8 is not '286</p> |
| <p style="text-align: right;">119</p> <p>1 - - - 2 BY ATTORNEY EKLEM: 3 Q. Dr. Koc, in the -- your counsel was 4 just asking you about your example on page 23 5 of your declaration. 6 A. Yeah. 7 Q. As I understand it, the -- counsel 8 was asking you about modifying that example 9 such that in Step 3 this least significant word 10 of T is not zeroed such that in Step 4 the T0 11 would be 39195, correct? 12 A. Yes. 13 Q. And so if you did that, you're saying 14 that the answer at the very end would still 15 come out to 87? 16 A. Yes, it would. Because all the 17 temporary results would be the same as this 18 example, and you would end up with 87. 19 Q. So help me understand a little bit. 20 You're saying that the temporary 21 results -- you're saying that the temporary 22 results would be the same as this example, 23 meaning if you did Steps 1 through 8 at each 24 step of Figure 7? 25 ATTORNEY DESAI: Objection.</p> | <p style="text-align: right;">121</p> <p>1 regular steps. It's the Montgomery reduction 2 step, because the '286 algorithm works that 3 way. Defines itself to be multiple steps of 4 Lambert, let's call it, because that was the 5 inventor, Lambert reduction 3, 4, 5, another 3, 6 4, 5, another 3, 4, 5, but the number is 7 bigger, modulus is bigger when all of them are 8 finished, and you do a Montgomery and you have 9 the result. 10 So what I'm saying is that for 11 every 3, 4, 5 type of steps, results for the 12 fifth step, at the end of fifth step, would be 13 the same whether you erased that two digits or 14 not erased two digits. So that way, when you 15 enter the Montgomery step, it would enter at 16 the same number and compute the same result, 17 87. 18 Q. So in that scenario, in this modified 19 scenario we're talking about where you don't 20 zero at Step 3, at Step 4, are you still adding 21 a modular equivalent? 22 A. Writing the same number, you can. 23 You write the same number, 95 times 65 times 24 100, and to the number that was -- whose last 25 two digits was not zero.</p> |

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| <p style="text-align: right;">122</p> <p>1 Q. Okay. Got it.</p> <p>2 ATTORNEY EKLEM: Okay. I have</p> <p>3 no further questions.</p> <p>4 Dr. Koc, thank you for your</p> <p>5 time.</p> <p>6 THE VIDEOGRAPHER: With that,</p> <p>7 we're concluding the deposition. The time</p> <p>8 on the record is 11:54 a.m.</p> <p>9 THE COURT REPORTER: Can I get</p> <p>10 the orders for the record.</p> <p>11 Mr. Eklem, I think we have a</p> <p>12 standing order.</p> <p>13 Mr. Desai, would you like an</p> <p>14 immediate rough draft and an expedited</p> <p>15 copy?</p> <p>16 ATTORNEY DESAI: Yes, please.</p> <p>17 THE COURT REPORTER: And what</p> <p>18 would you like for the final?</p> <p>19 ATTORNEY DESAI: We can take the</p> <p>20 final like Tuesday of next week.</p> <p>21 THE COURT REPORTER: Okay.</p> <p>22 That's fine.</p> <p>23 THE VIDEOGRAPHER: Okay.</p> <p>24</p> <p>25 - - -</p> | <p style="text-align: right;">124</p> <p>1 CERTIFICATE</p> <p>2 I HEREBY CERTIFY that the</p> <p>3 proceedings, evidence and objections are</p> <p>4 contained fully and accurately in the</p> <p>5 stenographic notes taken by me upon the</p> <p>6 deposition of ÇETIN KAYA KOC, taken on</p> <p>7 1/9/26 and that this is a true and correct</p> <p>8 transcript of same.</p> <p>9</p> <p>10</p> <p>11</p> <p>12 </p> <p>13 Jennifer Miller, RMR, CCR, CRR</p> <p>14 and Notary Public</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21 (The foregoing certification of</p> <p>22 this transcript does not apply to any</p> <p>23 reproduction of the same by any means</p> <p>24 unless under the direct control and/or</p> <p>25 supervision of the certifying reporter.)</p> |
| <p style="text-align: right;">123</p> <p>1 (Whereupon, the deposition</p> <p>2 was concluded at 11:54 a.m.)</p> <p>3 - - -</p> <p>4</p> <p>5</p> <p>6</p> <p>7</p> <p>8</p> <p>9</p> <p>10</p> <p>11</p> <p>12</p> <p>13</p> <p>14</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p> | <p style="text-align: right;">125</p> <p>1 Case: Malikie Innovations Ltd., et al. vs Mara Holdings, Inc.</p> <p>2 Witness Name: Dr. Çetin Kaya Koç</p> <p>3 Deposition Date: 01/09/2026</p> <p>4</p> <p>5</p> <p>6 Please be advised that the transcript in the above</p> <p>7 referenced matter is now complete and ready for signature.</p> <p>8 The deponent may come to this office to sign the transcript,</p> <p>9 a copy may be purchased for the witness to review and sign,</p> <p>10 or the deponent and/or counsel may waive the option of</p> <p>11 signing. Please advise us of the option selected.</p> <p>12 Please forward the errata sheet and the original signed</p> <p>13 signature page to counsel noticing the deposition, noting the</p> <p>14 applicable time period allowed for such by the governing</p> <p>15 Rules of Procedure. If you have any questions, please do</p> <p>16 not hesitate to call our office at (202)-232-0646.</p> <p>17</p> <p>18 Sincerely,</p> <p>19 Digital Evidence Group</p> <p>20 Copyright 2026 Digital Evidence Group</p> <p>21 Copying is forbidden, including electronically, absent</p> <p>22 express written consent.</p> <p>23</p> <p>24</p> <p>25</p> |

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| <div style="text-align: right; margin-bottom: 10px;">126</div> <p>1 Digital Evidence Group, L.L.C. 2 1730 M Street, NW, Suite 812 3 Washington, D.C. 20036 4 (202) 232-0646 5 6 SIGNATURE PAGE 7 Case: Malikie Innovations Ltd., et al. vs Mara Holdings, Inc. 8 Witness Name: Dr. Çetin Kaya Koç 9 Deposition Date: 01/09/2026 10 I do hereby acknowledge that I have read 11 and examined the foregoing pages 12 of the transcript of my deposition and that: 13 (Check appropriate box): 14 () The same is a true, correct and 15 complete transcription of the answers given by 16 me to the questions therein recorded. 17 () Except for the changes noted in the 18 attached Errata Sheet, the same is a true, 19 correct and complete transcription of the 20 answers given by me to the questions therein 21 recorded. 22 23 _____ DATE WITNESS SIGNATURE 24 25 _____ DATE NOTARY</p> | |
| <div style="text-align: right; margin-bottom: 10px;">127</div> <p>1 Errata Sheet 2 NAME OF CASE: Malikie Innovations Ltd., et al. vs Mara Holdings, Inc. 3 DATE OF DEPOSITION: 01/09/2026 4 NAME OF WITNESS: Dr. Çetin Kaya Koç 5 Reason Codes: 1. To clarify the record. 6 2. To conform to the facts. 7 3. To correct transcription errors. 8 Page _____ Line _____ Reason _____ 9 From _____ to _____ 10 Page _____ Line _____ Reason _____ 11 From _____ to _____ 12 Page _____ Line _____ Reason _____ 13 From _____ to _____ 14 Page _____ Line _____ Reason _____ 15 From _____ to _____ 16 Page _____ Line _____ Reason _____ 17 From _____ to _____ 18 Page _____ Line _____ Reason _____ 19 From _____ to _____ 20 Page _____ Line _____ Reason _____ 21 From _____ to _____ 22 Page _____ Line _____ Reason _____ 23 From _____ to _____ 24 _____ 25 CETIN KOC</p> | |